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Bulletin 2009-5

TO: All Insurance Companies and Insurance Producers Transacting Business in Utah

FROM: D. Kent Michie, Commissioner

SUBJECT: **Guidance Regarding Use of the NAIC/NIPR Attachments Warehouse for the Electronic Filing of Insurance Producer Licensing Documents**

Purpose

The purpose of this bulletin is to advise all insurance companies and insurance producers transacting business in Utah that the Utah Insurance Department will immediately begin to accept documents and notices submitted on behalf of insurance producers through the NAIC/NIPR Attachments Warehouse, which will allow for the electronic filing of insurance producer licensing-related documents.

Attachments Warehouse

The Attachments Warehouse is a secure regulatory database which electronically receives, stores and shares licensing documents with the states that are submitted with respect to applications and/or renewals of insurance producer licenses through the National Insurance Producer Registry (NIPR), a non-profit affiliate of the National Association of Insurance Commissioners (NAIC). If additional information in the form of documentation is required by the Utah Insurance Department with respect to an individual producer licensing transaction, this documentation may be submitted via the Attachments Warehouse.

Notification and Reporting of Actions

The Attachments Warehouse may be used to allow insurance producers to satisfy their regulatory requirements for the notification and reporting of administrative and criminal actions to the insurance commissioner pursuant to Utah Code Annotated Section 31A-23a-105(2). Insurance producers may send the required notification and documents to the Attachments Warehouse electronically in lieu of faxing or mailing to the Utah Insurance Department.

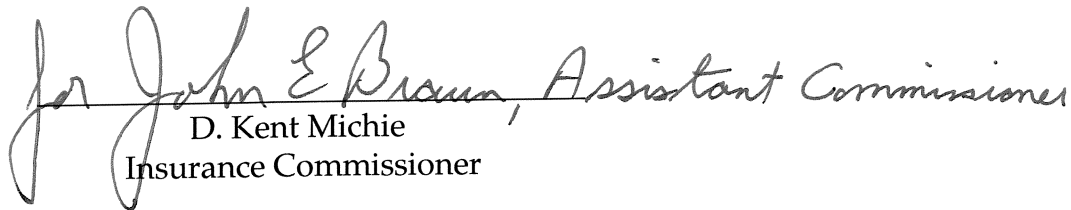
Utah is now accepting the electronic notification and reporting of actions submitted electronically to the Attachments Warehouse and will recognize that the reporting of the action to the Warehouse, the subsequent e-mail alert to the Utah Insurance Department, and the state's ability to retrieve, review and download that attachment shall satisfy the statutory requirements of the producer to notify and report the action to the Utah Insurance Department.

Questions

For further information regarding the use of the Attachment Warehouse visit NIPR's website at <https://www.nipr.com/docMgmt/jsp/docmgmt/terms.jsp> and <https://www.nipr.com/html/attachmentInstructions.html>, or contact Jenifer Christian of the Producer Licensing Division, Utah Insurance Department, at jchristian@utah.gov or 801-537-9273.

Effective Date

Dated this 28th day of July 2009.

for John E. Braun, Assistant Commissioner
D. Kent Michie
Insurance Commissioner