M. GALE LEMMON #4363
Assistant Attorney General
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Attorney General
Attorneys for Utah Insurance Department
State Office Building, Room 3110
Salt Lake City, UT 84114
Telephone (801) 538-3872

# BEFORE THE INSURANCE COMMISSIONER OF THE STATE OF UTAH

COMPLAINANT:

UTAH INSURANCE DEPARTMENT

RESPONDENT:

MAXIM INSURANCE SERVICES, INC. 2401 B Termini Dickinson, TX 77539 License No. 103588 **STIPULATION** 

& ORDER

Docket No. <u>2008-120</u> PC

Enf. Case No. <u>2176</u>

RECEIVED

AUG 2 5 2008

UTAH STATE

#### **STIPULATION**

- 1. Respondent, Maxim Insurance Services, Inc., is a licensed non-resident insurance agency in the State of Utah, holding License No. 103588.
  - 2. Respondent stipulates with the Complainant, Utah Insurance Department, as follows:
- a. If a hearing were held, witnesses called by the Complainant could offer and introduce evidence that would support the Findings of Fact herein;
  - b. Respondent admits the Findings of Fact and Conclusions made therefrom;
- c. Respondent stipulates to the summary entry of the Order herein which shall be in lieu of other administrative proceedings by Complainant in this matter; and

d. Respondent and Complainant have negotiated the terms of the Order entered herein and Respondent agrees to its entry and further agrees to be bound by all its terms.

3. Respondent is aware of its right to a hearing at which it may be represented by counsel, present evidence and cross-examine witnesses. Respondent has irrevocably waived its right to such hearing and to any appeal related thereto.

4. Respondent admits the jurisdiction of the State of Utah Insurance Commissioner as to all matters herein.

5. Respondent is acting herein free from any duress or coercion of any kind or nature, having been advised fully as to its rights set forth herein.

6. Respondent acknowledges that the issuance of this Order by the Commissioner is solely for the purpose of disposition of the matter entitled herein.

DATED this <u>30th</u> day of <u>Hugust</u>

\_, 2008.

MAXIM INSURANCE SERVICES, INC.

Ronald Masters, President

UTAM INSURANCE DEPARTMENT

M. Gale Lemmon, Assistant Attorney General

Based upon the foregoing Stipulation and information in the file, the Presiding Officer makes the following Findings of Fact:

#### FINDINGS OF FACT

- 1. Respondent was assessed a penalty in the amount of \$1,000.00 by the State of Florida on October 15, 2007, for doing business while its license had expired.
- 2. Respondent failed to notify the Utah Insurance Department of the action in Florida until April 25, 2008, more than 30 day after the action taken in Florida.

Based upon the foregoing Stipulation and Findings of Fact, the Presiding Officer enters the following Conclusions of Law:

#### **CONCLUSIONS OF LAW**

1. In failing to notify the department of an administrative action taken against it in another state within 30 days of the action becoming final, Respondent violated Utah Code Annotated § 31A-23a- 105(2).

Based upon the foregoing Stipulation, Findings of Fact and Conclusions of Law, the Presiding Officer herewith enters the following Order:

#### **ORDER**

#### IT IS HEREBY ORDERED:

1. Respondent is assessed an administrative forfeiture in the amount of \$750.00, to be paid within 30 days of the date of this Order.

#### **NOTIFICATION**

Respondent is hereby notified that failure to abide by the terms of this Order may subject

it to further penalties, including additional forfeitures of up to \$5,000.00 per violation and the suspension or revocation of its license, and the filing of an action to enforce this Order in the District Court, which may impose penalties of up to \$10,000.00 per day for continued violation.

You are further notified that other jurisdictions in which you may be licensed may require that you report this action to them.

D. KENT MICHIE INSURANCE COMMISSIONER

MARK E. KLEINFIELD, Esq.

Administrative Law Judge Utah Insurance Department

State Office Building, Room 3110

Salt Lake City, Utah 84114 Telephone (801) 538-3800

## **CERTIFICATE OF MAILING**

I do hereby certify that on this date I mailed, by regular mail, postage prepaid, a true and correct copy of the attached:

STIPULATION & ORDER

To the following:

Maxim Insurance Services, Inc. 2401 B. Termini Dickinson, TX 77539

DATED this 8<sup>th</sup> day of September 2008.

Linda/Hardy

Court Clerk

Utah Department of Insurance State Office Building, Room 3110 Salt Lake City, UT 84114-6901

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### UTAH Invoice - Original

Printed Date: September 08, 2008

Invoice Date: September 08, 2008

Balance Due: \$750.00

Due Date: October 03, 2008

Invoice ID: 391800 Payor ID: 12812

MAXIM INSURANCE SERVICES INC 2401 B TERMINI DICKINSON TX 77539

Item Description9/8/2008Monetary Penalty Agency\$750.00

Monetary Penalty Agency \$750.00 E-case 2176 Docket 2008-122 LC

Original Amount Due \$750.00

# **UTAH Invoice - Original**

Invoice Date: September 08, 2008

Balance Due: \$750.00

Due Date: October 03, 2008

Invoice ID: 391800 Payor ID: 12812

Payor Name: MAXIM INSURANCE

SERVICES INC

Make checks payable to: Utah Insurance Department

Send payment to:

Utah Insurance Department 3110 State Office Building Salt Lake City, UT 84114-6901