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BEFORE THE INSURANCE COMMISSIONER OF THE STATE OF UTAH

COMPLAINANT:

UTAH INSURANCE DEPARTMENT

RESPONDENT:

STEVEN ROBERT MECHANIC
One Blue Hill Plaza, Suite 530
P.O. Box 1646
Pearl River, NY 10965
License No. 112998

**NOTICE OF INFORMAL
ADJUDICATIVE PROCEEDING
AND ORDER**

Docket No. 2009-011 LC

Enf. Case No. 2236

The Utah Insurance Department has commenced this informal adjudicative proceeding pursuant to Utah Code Annotated §§ 31A-2-201 and 63G-4-201 and Utah Administrative Code Rule R590-160. Based upon information contained in agency files or known to the Commissioner, the Commissioner makes the following:

FINDINGS OF FACT

1. Respondent is an insurance agent authorized to do the business of insurance in the State of Utah holding License number 112998.
2. On May 13, 2008, the State of Kansas took administrative action against Respondent's license for failure to report another state's action and assessed a monetary penalty in the amount of \$250.00.

3. On September 24, 2007, the State of New York took administrative action against Respondent's license for making a misstatement on his license application and assessed a monetary penalty in the amount of \$500.00.

4. Respondent failed to report the New York and Kansas administrative actions to the department within 30 days of the final disposition.

Having entered his Findings of Fact, the Commissioner now enters his:

CONCLUSION OF LAW

1. In failing to report an administrative action taken by another state within 30 days of the final disposition, Respondent violated Utah Code Annotated § 31A-23a-105(2).

2. In violating an insurance statute, Respondent is subject to imposition of forfeitures of up to \$2,500.00 per violation under Utah Code Annotated § 31A-2-308.

Based upon the foregoing Findings of Fact and Conclusions of Law, the Commissioner now enters the following:

ORDER

IT IS HEREBY ORDERED:

1. Respondent is assessed an administrative forfeiture in the amount of \$250.00, to be paid within 10 days of the date this Order becomes final.

2. This Order shall become final fifteen (15) days after the date of mailing unless a written request for a hearing is received from the Respondent in the offices of the department prior to that date. A written request for a hearing shall be signed by the person making the request and shall state the basis for the relief requested.

NOTIFICATION

If you request a hearing regarding this matter, the department will be represented by M. Gale Lemmon, Assistant Attorney General, State Office Building, Room 3110, Salt Lake City, Utah 84114, Telephone Number (801) 538-3800. Failure to request a hearing will be considered a failure to exhaust administrative remedies and will preclude any further administrative or judicial review or appeal of this matter.

You are further notified that a failure to obey an Order of the commissioner may subject you to further penalties, including forfeitures of up to \$2,500.00 per violation and the suspension or revocation of your license and the filing of an action in District Court, which may impose forfeitures of up to \$10,000.00 per day for continued violation.

You are further notified that other jurisdictions in which you may be licensed may require that you report this action to them.

Questions regarding this Adjudicative Proceeding should be directed to Sandra Halladay, at the Utah Insurance Department, telephone number (801) 537-9162.

DATED THIS 2nd day of February, 2009.

D. KENT MICHIE
INSURANCE COMMISSIONER



MARK KLEINFELD, J.D.
ADMINISTRATIVE LAW JUDGE
Utah Insurance Department
State Office Building, Room 3110
Salt Lake City, Utah 84114
Telephone (801) 538-3800

CERTIFICATE OF MAILING

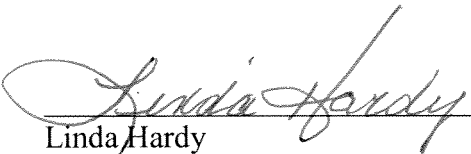
I do hereby certify that on this date I mailed, by regular mail, postage prepaid a true and correct copy of the attached:

NOTICE OF INFORMAL
ADJUDICATIVE PROCEEDING
AND ORDER

To the following:

Steven Robert Mechanic
One Blue Hill Plaza, Suite 530
P.O. Box 1646
Pearl River, NY 10965

DATED this 2nd day of February, 2009.


Linda Hardy Court Clerk
Utah Department of Insurance
State Office Building, Room 3110
Salt Lake City, UT 84114-6901

**UTAH
Invoice**

Printed Date: February 02, 2009

Invoice Date: February 02, 2009
Balance Due: \$250.00
Due Date: February 27, 2009
Invoice ID: 403900

MECHANIC STEVEN ROBERT
ONE BLUE HILL PLAZA SUITE 530
PO BOX 1646
PEARL RIVER NY 10965

Item Description	Amount
Monetary Penalty Individual	\$250.00
Original Amount Due	<u>\$250.00</u>

**UTAH
Invoice**

Printed Date: February 02, 2009

Invoice Date: February 02, 2009
Balance Due: \$250.00
Due Date: February 27, 2009
Invoice ID: 403900

Make checks payable to: Utah Insurance Department

Send payment to:

Utah Insurance Department
3110 State Office Building
Salt Lake City, UT 84114-6901

Detach and Return with Payment