

NOTICE OF  
PROPOSED RULE AMENDMENT

- The agency identified below in box 1 provides notice of proposed rule change pursuant to Utah Code Section 63G-3-301 and Subsection 53C-1-201(3)(c).
- Please address questions regarding information on this notice to the agency.
- The full text of all rule filings is published in the Utah State Bulletin unless excluded because of space constraints.
- The full text of all rule filings may also be inspected at the Office of Administrative Rules.

Agency Information

1. Agency: Insurance - Administration  
 Room no.: 3110  
 Building: STATE OFFICE BLDG  
 Street address 1: 450 N MAIN ST  
 Street address 2:  
 City, state, zip: SALT LAKE CITY UT 84114-1201  
 Mailing address 1: PO BOX 146901  
 Mailing address 2:  
 City, state, zip: SALT LAKE CITY UT 84114-6901

Contact person(s):

Name:	Phone:	Fax:	E-mail:
Steve Gooch	801-538-3803	801-538-3829	sgooch@utah.gov

(Interested persons may inspect this filing at the above address or at DAR during business hours)

Rule Information

DAR file no: 43615 Date filed: 04/01/2019 02:02 PM  
 State Admin Rule Filing Key: 161092  
 Utah Admin. Code ref. (R no.): R 590 - 225 - 3  
 Changed to Admin. Code ref. (R no.): - -

Title

2. Title of rule or section (catchline):  
 Documents Incorporated by Reference

Notice Type

3. Type of notice: Amendment

Rule Purpose

4. Purpose of the rule or reason for the change:  
 The rule is being amended to update an incorporated reference to make it more perennial.

Response Information

5. This change is a response to comments by the Administrative Rules Review Committee.  
No

Rule Summary

6. Summary of the rule or change:  
Two references to documents incorporated by reference are being changed to use language that is more consistently accurate. The Insurance Department is a member state of the National Association of Insurance Commissioner (NAIC). Utah, along with the insurance departments of 56 other states and jurisdictions, use NAIC forms to facilitate a cohesive and standardized regulatory environment. These forms change on occasion after they are approved by member states. We do not expect to diverge from using NAIC forms and are changing the language in this rule to point to the most recent version of the forms in question.

Aggregate Cost Information

7. Aggregate anticipated cost or savings to:
- A) State budget:  
Affected: No  
There is no anticipated cost or savings to the state budget because the forms that are being incorporated by reference are free for member states to use. There is no alteration to the Department's actions — just the location and regular updating of the forms.
- B) Local government:  
Affected: No  
There is no anticipated cost or savings to local governments. The rule change only applies to the relationship between the Department and its licensed property and casualty insurers.
- C) Small businesses:  
Affected: No  
("small business" means a business employing fewer than 50 persons)  
There is no anticipated cost or savings to small businesses. The forms being incorporated by reference aren't changing — the change is being done to allow property and casualty insurers to use the most recent forms available through the NAIC. The forms in question are free for insurers to use, just as they have been.
- D) Persons other than small businesses, businesses, or local government entities:  
Affected: No  
("person" means any individual, partnership, corporation, association, governmental entity, or public or private organization of any character other than an agency)  
There is no anticipated cost or savings to any other persons. The forms being incorporated by reference aren't changing — the change is being done to allow property and casualty insurers to use the most recent forms available through the NAIC. The forms in question are free for insurers to use, just as they have been.

Compliance Cost Information

8. Compliance costs for affected persons:  
There are no anticipated costs for any affected persons. Property and casualty insurers will be able to download the forms for free as they normally do. The change merely allows them to use the most recent form available regardless of publication date.

Department Head Comments

9. A) Comments by the department head on the fiscal impact the rule may have on businesses:

After conducting a thorough analysis, it was determined that this proposed rule will not result in a fiscal impact to businesses.

B) Name and title of department head commenting on the fiscal impacts:

Todd E. Kiser, Insurance Commissioner

Citation Information

10. This rule change is authorized or mandated by state law, and implements or interprets the following state and federal laws.

State code or constitution citations (required) (e.g., Section 63G-3-402; Subsection 63G-3-601(3); Article IV) :  
31A-2-201.1

31A-2-201

31A-19a-203

31A-2-202

Incorporated Materials

11. This rule adds, updates, or removes the following title of materials incorporated by reference (a copy of materials incorporated by reference must be submitted to DAR; if none, leave blank) :

Official Title of Materials Incorporated (from title page):

Publisher:

Date Issued:

Issue, or version:

ISBN Number:

ISSN Number:

Cost of Incorporated Reference:

Adds, updates, removes:

Comments

12. The public may submit written or oral comments to the agency identified in box 1. (The public may also request a hearing by submitting a written request to the agency. The agency is required to hold a hearing if it receives requests from ten interested persons or from an association having not fewer than ten members. Additionally, the request must be received by the agency not more than 15 days after the publication of this rule in the Utah State Bulletin. See Section 63G-3-302 and Rule R15-1 for more information.)

A) Comments will be accepted until 5:00 p.m. on (mm/dd/yyyy) :

05/15/2019

B) A public hearing (optional) will be held:

On (mm/dd/yyyy): At (hh:mm AM/PM): At (place):

Proposed Effective Date

13. This rule change may become effective on (mm/dd/yyyy):

05/22/2019

NOTE: The date above is the date on which this rule MAY become effective. It is NOT the effective date. After a minimum of seven days following the date designated in Box 12(A) above, the agency must submit a Notice of Effective Date to the Office of Administrative Rules to make this rule effective. Failure to submit a Notice of Effective Date will result in this rule lapsing and will require the agency to start the rulemaking process over.

Indexing Information

14. Indexing information - keywords (maximum of four, in lower case, except for acronyms (e.g., "GRAMA") or proper nouns (e.g., "Medicaid")):  
property casualty insurance filing

File Information

15. Attach an RTF document containing the text of this rule change (filename):

There is a document associated with this rule filing.

To the Agency

Information requested on this form is required by Sections 63G-3-301, 302, 303, and 402. Incomplete forms will be returned to the agency for completion, possibly delaying publication in the Utah State Bulletin, and delaying the first possible effective date.

Agency Authorization

Agency head or designee, and title:	Steve Gooch Information Specialist	Date (mm/dd/yyyy): 04/01/2019
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