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Salt Lake City, UT 84114
Telephone (801) 538-3872

BEFORE THE INSURANCE COMMISSIONER OF THE STATE OF UTAH

COMPLAINANT:

UTAH INSURANCE DEPARTMENT

RESPONDENT:

STEPHEN C. LEISHER
437 South Highway 101, Suite 212
Solana Beach, CA 92075
License No. 145547

**STIPULATION
&
ORDER**

Docket No. 2009-003 LC

Enf. Case No. 2269

STIPULATION

1. Respondent, Stephen C. Leisher, is a licensed non-resident insurance agent in the State of Utah, holding License No. 145547.

2. Respondent stipulates with the Complainant, Utah Insurance Department, as follows:

a. If a hearing were held, witnesses called by the Complainant could offer and introduce evidence that would support the Findings of Fact herein;

b. Respondent admits the Findings of Fact and Conclusions made therefrom;

c. Respondent stipulates to the summary entry of the Order herein which shall be in lieu of other administrative proceedings by Complainant in this matter; and

*1-9-09
a. License 401902 in 2000 ad*

d. Respondent and Complainant have negotiated the terms of the Order entered herein and Respondent agrees to its entry and further agrees to be bound by all its terms.

3. Respondent is aware of his right to a hearing at which he may be represented by counsel, present evidence and cross-examine witnesses. Respondent has irrevocably waived his right to such hearing and to any appeal related thereto.

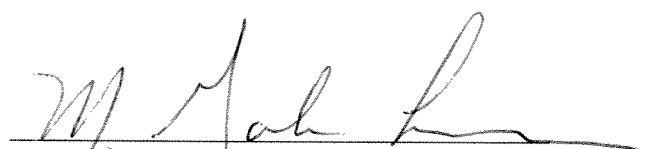
4. Respondent admits the jurisdiction of the State of Utah Insurance Commissioner as to all matters herein.

5. Respondent is acting herein free from any duress or coercion of any kind or nature, having been advised fully as to his rights set forth herein.

6. Respondent acknowledges that the issuance of this Order by the Commissioner is solely for the purpose of disposition of the matter entitled herein.

DATED this 13th day of January, 2009.


STEPHEN C. LEISHER


UTAH INSURANCE DEPARTMENT
M. Gale Lemmon, Assistant Attorney General

Based upon the foregoing Stipulation and information in the file, the Presiding Officer makes the following Findings of Fact:

FINDINGS OF FACT

1. On or about April 17, 2008, the Kentucky Office of Insurance took an administrative action against the Respondent for failure to timely file certain reports in that state and assessed Respondent a forfeiture of \$2,500.00.
2. Respondent failed to report the action taken against him by Kentucky Office of Insurance within 30 days.
3. Respondent failed to promptly reply to inquiries of the Utah Insurance Commissioner regarding the Kentucky action.

Based upon the foregoing Stipulation and Findings of Fact, the Presiding Officer enters the following Conclusions of Law:

CONCLUSIONS OF LAW

1. In failing to report an administrative action taken against him by another state within 30 days of that action, the Respondent violated Utah Code Annotated § 31A-23a-105(2).
2. In failing to promptly reply to an inquiry of the commissioner, Respondent violated Utah Code Annotated § 31A-2-202(4).

Based upon the foregoing Stipulation, Findings of Fact and Conclusions of Law, the Presiding Officer herewith enters the following Order:

ORDER

IT IS HEREBY ORDERED:

1. Respondent is assessed an administrative forfeiture in the amount of \$250.00, to be paid within 30 days of the date of this Order.

2. Respondent's Utah insurance agent's license is placed on probation for a period of 12 months, beginning with the date of this Order. The terms of probation are that the Respondent shall have no further violations of the Utah Insurance Code or Rules or of any order of the commissioner.

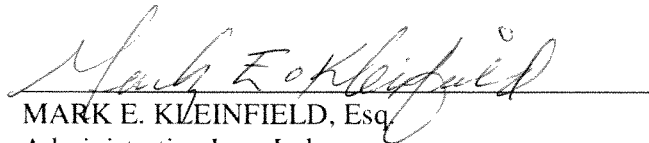
NOTIFICATION

Respondent is hereby notified that failure to abide by the terms of this Order may subject you to further penalties, including additional forfeitures of up to \$2,500.00 per violation and the suspension or revocation of your license, and the filing of an action to enforce this Order in the District Court, which may impose penalties of up to \$10,000.00 per day for continued violation.

You are further notified that other jurisdictions in which you may be licensed may require that you report this action to them.

DATED this 13th day of January 2009.

D. KENT MICHIE
INSURANCE COMMISSIONER


MARK E. KLEINFELD, Esq.

Administrative Law Judge
Utah Insurance Department
State Office Building, Room 3110
Salt Lake City, Utah 84114
Telephone (801) 538-3800

CERTIFICATE OF MAILING

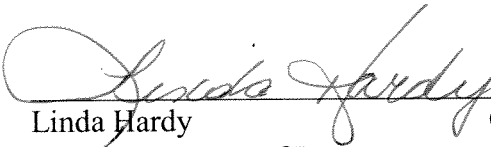
I do hereby certify that on this date I mailed, by regular mail, postage prepaid a true and correct copy of the attached:

STIPULATION
&
ORDER

To the following:

Stephen C. Leisher
437 South Highway 101, Suite 212
Solana Beach, CA 92075

DATED this 14th day of January, 2009.


Linda Hardy Court Clerk
Utah Department of Insurance
State Office Building, Room 3110
Salt Lake City, UT 84114-6901

**UTAH
Invoice**

Printed Date: January 14, 2009

Invoice Date: January 06, 2009
Balance Due: \$0.00
Due Date: February 10, 2009
Invoice ID: 401903

LEISHER STEVEN CHARLES
THE LEISHER GROUP
437 S HIGHWAY 101 STE 212
SOLANA BEACH CA 92075-2220

Item Description	Amount
Monetary Penalty Individual	\$250.00
Original Amount Due	<u>\$250.00</u>
Payments Received	
1/6/2009 Check	\$250.00
Balance Due	<u>\$0.00</u>

**UTAH
Invoice**

Printed Date: January 14, 2009

Invoice Date: January 06, 2009
Balance Due: \$0.00
Due Date: February 10, 2009
Invoice ID: 401903

Make checks payable to: Utah Insurance Department

Send payment to:

Utah Insurance Department
3110 State Office Building
Salt Lake City, UT 84114-6901