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**BEFORE THE INSURANCE COMMISSIONER
OF THE STATE OF UTAH**

<p>COMPLAINANT:</p> <p>UTAH INSURANCE DEPARTMENT</p> <p>RESPONDENT:</p> <p>BUIE INSURANCE SERVICES LLC 6440 Wasatch Blvd. Suite 150 Salt Lake City, UT 84121-3513 License No. 339874</p>	<p>STIPULATION AND ORDER</p> <p>Docket No. 2012-111 LC</p> <p>Enf. Case No. <u>3072</u></p>
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STIPULATION

1. Respondent, Buie Insurance Services LLC, is a resident insurance producer organization holding license number 339874.
2. Respondent stipulates with the Complainant, Utah Insurance Department, as follows:
 - a. If a hearing were held, witnesses called by the Complainant could offer and introduce evidence that would support the Findings of Fact herein;

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- b. Respondent admits the Findings of Fact and Conclusions made therefrom;
- c. Respondent stipulates to the summary entry of the Order herein which shall be in lieu of other administrative proceedings by Complainant in this matter; and
- d. Respondent and Complainant have negotiated the terms of the Order entered herein and Respondent agrees to its entry and further agrees to be bound by all its terms.

3. Respondent is aware of its right to a hearing at which it may be represented by counsel, present evidence and cross-examine witnesses. Respondent has irrevocably waived its right to such hearing and to any appeal related thereto.

4. Respondent admits the jurisdiction of the State of Utah Insurance Commissioner as to all matters herein.

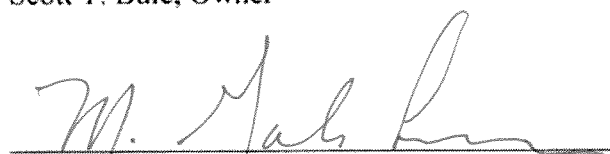
5. Respondent is acting herein free from any duress or coercion of any kind or nature, having been advised fully as to its rights set forth herein.

6. Respondent acknowledges that the issuance of this Order by the Commissioner is solely for purpose of disposition of the matter entitled herein.

DATED this 11 day of July, 2012.



BUIE INSURANCE SERVICES, LLC
Scott T. Buie, Owner



UTAH INSURANCE DEPARTMENT
Bryce H. Pettey, Assistant Attorney General

Based upon the foregoing Stipulation and information in the file, the Presiding Officer makes the following Findings of Fact:

FINDINGS OF FACT

1. On May 11, 2011, an audit of Respondent was conducted by the Department. As part of the audit, the Department requested a list of the agency's staff members.
2. On or about May 16, 2011, Respondent provided the requested staff list. The list included three licensed insurance producers and one unlicensed clerical employee. Respondent's website advertised the unlicensed employee as a customer service representative.
3. A market conduct examiner met with Respondent's owner, Scott Buie, on October 20, 2011. During this meeting Mr. Buie was made aware that employing an unlicensed customer service representative was a violation of an Insurance statute. Mr. Buie stated that he was unaware that his CSR needed to be licensed, but in any event, as of that week the individual was no longer employed by the agency.
4. Respondent has agreed to an administrative forfeiture in the amount of \$3,000.00.

Based upon the foregoing Stipulation and Findings of Fact, the Presiding Officer enters the following Conclusions of Law:

CONCLUSIONS OF LAW

1. In employing an individual as a customer service representative when that person was not licensed to act as such, Respondent violated Utah Code Ann. § 31A-23a-103.
2. An administrative forfeiture in the amount of \$3,000.00 is appropriate in this matter.

Based upon the foregoing Stipulation, Findings of Fact, and Conclusions of Law, the Presiding Officer herewith enters the following Order:

ORDER

IT IS HEREBY ORDERED:

1. Respondent, Buic Insurance Services LLC, is assessed an administrative forfeiture in the amount of \$3,000.00. The forfeiture shall be paid to the Department within 30 days of issuance of this Order.

DATED this 17th day of July, 2012.

NEAL T. GOOCH
Insurance Commissioner



MARK E. KLEINFELD
Administrative Law Judge
Utah Insurance Department
State Office Building , Room 3110
Salt Lake City, UT 84114
Telephone: (801) 538-3800

NOTIFICATION

Respondent is hereby notified that a failure to obey and Order of the Commissioner may subject it to further penalties, including forfeiture of up to \$5,000.00 per violation and the suspension or revocation of your license and the filing of an action in district court, which may impose forfeitures of up to \$10,000.00 per day for continued violation.

Respondent is further notified that other jurisdictions in which it may be licensed may require that you report this action to them.

CERTIFICATE OF MAILING

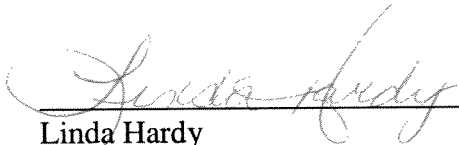
I do hereby certify that on this date I mailed, by regular mail postage prepaid, a true and correct copy of the attached:

STIPULATION
&
ORDER

To the following:

Buie Insurance Service LLC
Attn: Scott Buie
6440 Wasatch Blvd., Suite 150
Salt Lake City, UT 84121-3513

DATED this 17th day of July, 2012



Linda Hardy
Market Conduct
Utah Department of Insurance
State Office Building, Room 3110
Salt Lake City, UT 84114-6901
801-538-3860

**UTAH
Invoice**

Printed Date: July 17, 2012

Invoice Date: July 17, 2012
Balance Due: \$3,000.00
Due Date: August 16, 2012
Invoice ID: 591172

BUIE INSURANCE SERVICES LLC
6440 WASATCH BLVD STE 150
SALT LAKE CITY UT 84121-3513

Item Description	Amount
Monetary Penalty Agency	\$3,000.00
Original Amount Due	<u>\$3,000.00</u>
Payments Received	
	<u>Balance Due</u>

**UTAH
Invoice**

Printed Date: July 17, 2012

Invoice Date: July 17, 2012
Balance Due: \$3,000.00
Due Date: August 16, 2012
Invoice ID: 591172

Make checks payable to: Utah Insurance Department

Send payment to:

Utah Insurance Department
3110 State Office Building
Salt Lake City, UT 84114-6901