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UTAH STATE
INSURANCE DEPT.

PERRI ANN BABALIS #5658
Assistant Attorney General
SEAN D. REYES #7969
Attorney General
Attorneys for Utah Insurance Department
160 East 300 South, Fifth Floor
P.O. Box 140874
Salt Lake City, UT 84114-0874
Telephone (801) 366-0375
Email: pbabalis@utah.gov

**BEFORE THE INSURANCE COMMISSIONER
OF THE STATE OF UTAH**

UTAH INSURANCE DEPARTMENT,

Complainant,

vs.

ROICE MATTHEW ARNOLD
280 Country Club
Stansbury Park, UT 84074
License # 435838,

Respondent.

**STIPULATION
AND ORDER**

**Docket No. 2014-096 LC
Enforcement Case No. 3529**

**Judge Mark Kleinfeld
Administrative Law Judge**

The Utah Insurance Department ("Department"), by and through its attorney, Perri Ann Babalis, Assistant Attorney General, and Roice Matthew Arnold, ("Respondent"), a licensed insurance agent, hereby stipulate and agree as follows:

STIPULATION

1. Respondent, Roice Matthew Arnold, is a licensed insurance producer pursuant to

License No 435838. Respondent's mailing address is 280 Country Club, Stansbury Park, Utah 84074.

2. Respondent admits that the Utah Insurance Commissioner has jurisdiction over Respondent and over the subject matter of this action.

3. Respondent acknowledges notice of agency action pursuant to Utah Code Ann. § 63G-4-210; further acknowledges that this Stipulation and Order is an informal proceeding pursuant to Utah Code Ann. § 63G-4-202; and waives the right to any hearing in this matter.

4. Respondent affirms that Respondent enters into this stipulation voluntarily and knowingly.

5. Respondent affirms that the only promises, agreements, or understandings the Respondent has obtained from the Department or from an agent or representative of the Department regarding this stipulation are contained herein.

6. Respondent acknowledges that Respondent has the right to be represented by legal counsel and Respondent has either sought the advice of an attorney or has voluntarily chosen not to do so.

7. The parties acknowledge that upon approval by the Respondent and the Department, this stipulation shall be made a part of the attached final Order, and shall be the final compromise and settlement of this matter, and is not subject to agency review, reconsideration, renegotiation, modification, appeal, or hearing.

8. Respondent admits that he has an outstanding judgment for a past child support obligation, which, as of August 6, 2014, was in the amount of \$6,755.01. The judgment was initially entered on February 12, 2014 in the amount of \$3,894.52. In accordance with Utah Code Annotated, Section 31A-23a-111(5)(b)(iv) and (xxi), the Commissioner may take action against a licensee's insurance license for failure to pay an outstanding judgment and/or child support obligation.

9. Respondent admits that the above acts and practices constitute violations of the Utah Insurance Code. Specifically, Respondent admits that Respondent has violated:

a. Utah Code Ann. § 31A-23a-111, Revocation, suspension, surrender, lapsing, limiting, or otherwise terminating a license – Rulemaking for renewal or reinstatement.

10. As full settlement of all of the issues raised in the stipulation, Respondent agrees as follows:

a. Respondent agrees to pay a forfeiture in the amount of \$500.00, said amount will be stayed pending successful completion of the terms of Respondent's probation, as outlined below;

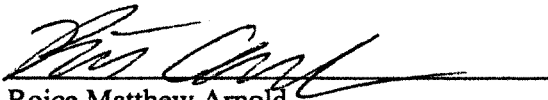
b. Respondent agrees to be placed on probation for a period of 24 months, during which time Respondent shall have no other insurance law, regulation or rule violations;

c. Respondent agrees to maintain a payment schedule to bring his child support obligation current, while not allowing the outstanding obligation to accumulate further;

d. Respondent agrees to notify the Department, in writing, once each quarter regarding the progress of his efforts to satisfy the outstanding judgment. The notification shall provide proof of payments towards the obligation for each quarter;

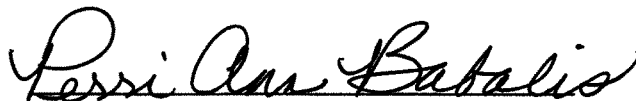
e. Respondent and the Department agree that if Respondent's child support judgment is paid in full by the end of the 24-month probationary period, the \$500.00 forfeiture will be dismissed.

DATED this 30 day of SEPTEMBER, 2014.


Roice Matthew Arnold

DATED this 2nd day of October, 2014.

SEAN D. REYES
Attorney General


Perri Ann Babalis
Assistant Attorney General

Based upon the foregoing Stipulation, and for good cause appearing, the Administrative Law Judge hereby enters the following:

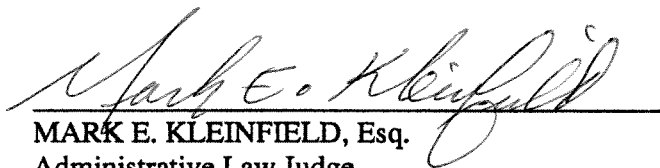
ORDER

IT IS HEREBY ORDERED:

1. Respondent shall pay a forfeiture in the amount of \$500.00, said amount will be stayed pending successful completion of the terms of Respondent's probation, as outlined below;
 - b. Respondent shall be placed on probation for a period of 24 months, during which time Respondent shall have no other insurance law, regulation or rule violations;
 - c. Respondent shall maintain a payment schedule to bring his child support obligation current, while not allowing the outstanding obligation to accumulate further;
 - d. Respondent shall notify the Department, in writing, once each quarter regarding the progress of his efforts to satisfy the outstanding judgment. The notification shall provide proof of payments towards the obligation for each quarter;
 - e. If Respondent's child support judgment is paid in full by the end of the 24-month probationary period, the \$500.00 forfeiture will be dismissed.

DATED this 2nd day of October, 2014.

TODD E. KISER
INSURANCE COMMISSIONER



MARK E. KLEINFELD, Esq.
Administrative Law Judge
Utah Insurance Department
State Office Building, Room 3110
Salt Lake City, Utah 84114
Telephone (801) 538-3800
Email: mkleinfeld@utah.gov

NOTIFICATIONS

1. Respondent is hereby notified that failure to abide by the terms of this Order may subject you to further penalties, including additional forfeitures of up to \$5,000.00 per violation.
2. You are further notified that other jurisdictions in which you may be licensed may require that you report this action to them.