Fax: (877) 609-3671 To: Fax: +1 (801) 538-3829 Page 2 of 5 10/16/2014 1:24

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BEFORE THE INSURANCE COMMISSIONER OF THE STATE OF UTAH

UTAH INSURANCE DEPARTMENT,

STIPULATION AND ORDER

Complainant,

VS.

From: sean Lamb

Docket No. 2014-108 PC Enforcement Case No. 3541

SEAN MARTIN LAMB 231 South 100 East Provo, UT 84606 License # 394738

Judge Mark Kleinfield Administrative Law Judge

Respondent.

The Utah Insurance Department ("Department"), by and through its attorney, Perri Ann Babalis, Assistant Attorney General, and Sean Martin Lamb ("Respondent"), a licensed limited line insurance producer, hereby stipulate and agree as follows:

STIPULATION

1. Respondent, Sean Martin Lamb, is a licensed limited line insurance producer, holding

license number 394738. Respondent's mailing address is 231 South 100 East, Provo, Utah 84606.

- Respondent admits that the Utah Insurance Commissioner has jurisdiction over Respondent and over the subject matter of this action.
- 3. Respondent acknowledges notice of agency action pursuant to Utah Code Ann. § 63G-4-210; further acknowledges that this Stipulation and Order is an informal proceeding pursuant to Utah Code Ann. § 63G-4-202; and waives the right to any hearing in this matter.
- Respondent affirms that Respondent enters into this stipulation voluntarily and knowingly.
- 5. Respondent affirms that the only promises, agreements, or understandings the Respondent has obtained from the Department or from an agent or representative of the Department regarding this stipulation are contained herein.
- Respondent acknowledges that Respondent has the right to be represented by legal
 counsel and Respondent has either sought the advice of an attorney or has voluntarily chosen not
 to do so.
- 7. The parties acknowledge that upon approval by the Respondent and the Department, this stipulation shall be made a part of the attached final Order, and shall be the final compromise and settlement of this matter, and is not subject to agency review, reconsideration, renegotiation, modification, appeal, or hearing.
- 8. Respondent admits that he failed to timely notify the Department of a pending criminal prosecution against the Respondent as required by Utah Code Ann. § 31A-23a-105 (2)(e)(i)(B)(II).
- 9. As full settlement of all of the issues raised in the stipulation, Respondent agrees as follows:
 - a. Respondent agrees to pay a forfeiture in the amount of \$500.00.
- b. The forfeiture will be paid within thirty (30) days from the date the attached Order is entered.

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DATED this 13 day of 00+000

To:

Sean Martin Lamb Respondent

SEAN D. REYES Attomey General

Perri Ann Babalis

Assistant Attorney General

From: sean Lamb

Based upon the foregoing Stipulation, the Presiding Office hereby enters the following:

ORDER

IT IS HEREBY ORDERED:

To:

1. Respondent, Sean Martin Lamb, is ordered to pay a forfeiture in the amount of S500.00, due and payable within thirty (30) days from the date of this Order.

DATED this 21 day of October

TODD E. KISER INSURANCE COMMISSIONER

MARK E. KLEINFIELD, Esq. Administrative Law Judge Utah Insurance Department State Office Building, Room 3110 Salt Lake City, Utah 84114 Telephone (801) 538-3800

Email: mkleinfield@utah.gov

NOTIFICATIONS

- 1. Respondent is hereby notified that failure to abide by the terms of this Order may subject you to further penalties, including additional forfeitures of up to \$2,500.00 per violation.
- 2. You are further notified that other jurisdictions in which you may be licensed may require that you report this action to them.