

Utah Insurance Department  
State Office Building, Rm 3110  
Salt Lake City, UT 84114  
Telephone (801)538-3800  
Brent Oscarson  
Telephone (801) 538-3195

**BEFORE THE INSURANCE COMMISSIONER  
STATE OF UTAH**

**UTAH INSURANCE DEPARTMENT,  
COMPLAINANT**

vs.

**AETNA LIFE INSURANCE COMPANY,  
UT ID # 97**  
**Attn:** Elise Wolff MS761  
Three Sugar Creek Center  
Sugarland, TX 77478

**NOTICE OF INFORMAL  
AGENCY ACTION  
AND ORDER**

Docket No. 3564  
Enf. Case No. 2014-132 LF  
Judge Mark Kleinfeld  
Administrative Law Judge

---

The Utah Insurance Department has commenced this informal adjudicative proceeding pursuant to Utah Code Ann. §§ 31A-2-201 and 63G-4-201 and Utah Admin. Code R590-160. Based upon information contained in agency files or known to the Commissioner, the Commissioner makes the following:

**FINDINGS OF FACT**

1. Respondent is an insurer domiciled in the State of CONNECTICUT and authorized to do the business of insurance in the State of Utah, Utah Company Identification No. 97.
2. Respondent failed to timely file the annual Actuarial Certification Report electronically via SERFF on or before April 1, 2014, as required by Utah Code Ann. § 31A-29-117, Utah Admin. Code Rule R590-220-10, and Bulletin 2007-3.

3. As of the date of this Notice of Informal Adjudicative Proceeding and Order, Respondent has failed to file the required Report.

Having entered his Findings of Fact, the Commissioner now enters his:

**CONCLUSION OF LAW**

1. In failing timely file its annual Actuarial Certification Report, Respondent violated Utah Code Ann. § 31A-29-117, and Utah Admin. Code Rule R590-220-10.

2. Pursuant to Utah Code Ann. § 31A-2-308, the commissioner may impose a forfeiture of up to \$5,000 for each violation of the Utah Insurance Code or Rules.

Based upon the foregoing Findings of Fact and Conclusions of Law, the Commissioner now enters the following:

**ORDER**

**IT IS HEREBY ORDERED:**

1. Respondent is assessed an administrative forfeiture in the amount of \$750.00. Said forfeiture shall be paid no later than ten (10) days after the date this Order becomes final.

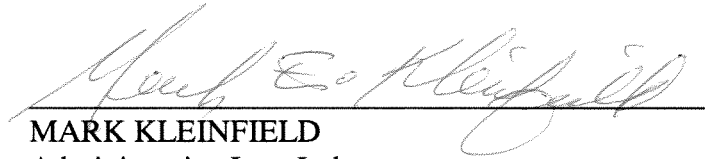
2. Respondent shall file its annual Actuarial Certification Report electronically via SERFF to be received in the offices of the Utah Insurance Department no later than ten (10) days of the date this Order becomes final.

3. This Order shall become final fifteen (15) days after the date of mailing unless a written request for a hearing is received from the Respondent in the offices of the department prior to that date. A written request for a hearing shall be signed by the person making the request and shall state the basis for the relief requested.

The Utah Insurance Department has commenced this informal adjudicative proceeding pursuant to Utah Code Ann. § 31A-2-201, 31A-3-103 and 63G-4-201 and Utah Admin. Code R590-102. Based upon information contained in agency files or known to the Department, the Department asserts the following facts:  
request and shall state the basis for the relief requested.

DATED THIS 30<sup>th</sup> day of Dec., 2014.

TODD E. KISER  
INSURANCE COMMISSIONER



MARK KLEINFELD  
Administrative Law Judge  
Utah Insurance Department  
State Office Building, Room 3110  
Salt Lake City, Utah 84114  
Telephone (801) 538-3800

### **NOTIFICATION**

If you request a hearing regarding this matter, please contact the Brent Oscarson, at 801-538-3195. Failure to request a hearing will be considered a failure to exhaust administrative remedies and will preclude any further administrative or judicial review or appeal of this matter.

You are further notified that a failure to obey an Order of the commissioner may subject you to further penalties, including forfeitures of up to \$2,500.00 per violation and the suspension or revocation of your license and the filing of an action to enforce this Order in District Court, which may impose forfeitures of up to \$10,000.00 per day for continued violation.

You are further notified that other jurisdictions in which you may be licensed may require that you report this action to them.