

UTAH INSURANCE DEPARTMENT  
State Office Building, Room 3110  
Salt Lake City, UT 84114  
Tammy Greening, Investigator  
Telephone (801) 538-3786  
tgreening@utah.gov

---

**BEFORE THE INSURANCE COMMISSIONER  
OF THE STATE OF UTAH**

---

**UTAH INSURANCE DEPARTMENT,  
COMPLAINANT**

vs

**UNITY TITLE, LLC  
(License No. 311040)**

**NOTICE OF INFORMAL  
AGENCY ACTION AND ORDER**

Docket No.: 2016-063 PC  
Enf. Case No. 3780

Judge Gregory Soderberg, J.D.  
Administrative Law Judge

---

The Utah Insurance Department has commenced this informal adjudicative proceeding pursuant to Utah Code Ann. §§ 31A-2-201 and 63G-4-201 and Utah Admin. Code R590-160. Based upon information contained in agency files or known to the Department, the Administrative Law Judge enters the following findings of fact:

**FINDINGS OF FACT**

1. Respondent is a licensed title insurance agency authorized to do the business of title insurance in the State of Utah, holding license number 311040.
2. Respondent failed to file its 2016 Annual and Controlled Business Reports for calendar year 2015 by the annual due date of April 30.

3. As of the date of this Notice of Informal Agency Action and Order, no filing has been received from Respondent.

Based upon the foregoing findings of fact, the Administrative Law Judge enters the following conclusions of law:

### **CONCLUSIONS OF LAW**

1. In failing to file the 2016 Annual and Controlled Business Reports by the annual due date of April 30, Respondent violated Utah Code Ann. § 31A-23a-413 and Utah Admin. Rule R592-11-3(4).

3. Pursuant to Utah Code Ann. Subsection § 31A-2-308(1)(b)(ii), when a licensee violates an Insurance Department Rule, the commissioner may assess an administrative forfeiture of up to \$5,000.00 per violation.

Based upon the foregoing findings of fact and conclusions of law, the Administrative Law Judge now enters the following Order:

### **ORDER**

#### **IT IS HEREBY ORDERED:**

1. Respondent is assessed an administrative forfeiture in the amount of \$1,000.00. Said forfeiture shall be paid no later than ten (10) days after the date this Order becomes final.

2. Respondent shall file the 2016 Annual and Controlled Business reports no later than ten (10) days after the date this Order becomes final.

3. This Order shall become final fifteen (15) days after the date of mailing unless a written request for a hearing is received from the Respondent in the offices of the department prior to that date. A written request for a hearing shall be signed by the person making the request and shall state the basis for the relief requested.

## **NOTIFICATION**

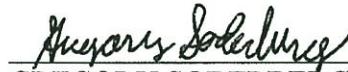
If you request a hearing regarding this matter, please contact the investigator, Tammy Greening at (801) 538-3786. Failure to request a hearing will be considered a failure to exhaust administrative remedies and will preclude any further administrative or judicial review or appeal of this matter.

You are further notified that a failure to obey an Order of the commissioner may subject you to further penalties, including forfeitures of up to \$5,000.00 per violation and the suspension or revocation of your license and the filing of an action to enforce this Order in District Court which may impose forfeitures of up to \$10,000.00 per day for continued violation.

You are further notified that other jurisdictions in which you may be licensed may require that you report this action to them.

DATED this 24th day of May, 2016.

TODD E. KISER  
INSURANCE COMMISSIONER

  
\_\_\_\_\_  
GREGORY SODERBERG, J.D.  
Administrative Law Judge