EDWARD VASQUEZ #8640 Assistant Attorney General SEAN D. REYES #7969 Attorney General Attorneys for Utah Insurance Department 160 East 300 South, 5th Floor P.O. Box 140874 Salt Lake City, Utah 84114-0874

Telephone: (801) 366-0367 Email: evasquez@agutah.gov

BEFORE THE UTAH INSURANCE COMMISSIONER

UTAH INSURANCE DEPARTMENT,

Complainant,

VS.

ALAN COLTRIN,

Respondent.

NOTICE OF INFORMAL ADJUDICATIVE PROCEEDING AND **ORDER**

Docket No. 2020-4240

NOTICE OF INFORMAL ADJUDICATIVE PROCEEDING

The Utah Insurance Department (the "Department") commences this informal adjudicative proceeding against Respondent Alan Coltrin ("Respondent") pursuant to Utah Code §§ 31A-2-201 and 63G-4-201 through -203 and Utah Admin. Code R590-160.

This informal adjudicative proceeding is based on the facts and law set forth in the attached Declaration and is designated as an informal adjudicative proceeding pursuant to Utah Code § 63G-4-202(1) and Utah Admin. Code R590-160-4 and -8.

ORDER

Based on the facts and the law set forth in the attached Declaration, and good cause appearing, the Utah Insurance Commissioner orders as follows:

1. Respondent's application for a Utah resident producer individual insurance license is

denied.

2. Pursuant to Utah Code § 63G-4-203(1)(i) and Utah Admin. Code R590-160-8(1), this

informal adjudicative proceeding shall be deemed closed, and this Order shall become final and

take full effect, 15 days after this Notice of Informal Adjudicative Proceeding and Order is

emailed to Respondent unless a written request for a hearing on this matter is received from

Respondent prior to that date.

3. A request for a hearing shall be sent by email to <u>uidadmincases@utah.gov</u> or by U.S.

mail to Office of the Administrative Law Judge, Utah Insurance Department, 3110 State Office

Building, Salt Lake City, UT 84114. The request for hearing shall be signed by the person

making the request and shall state the basis for the relief requested.

4. If you fail to request a hearing you will be bound by this Order. Failure to request a

hearing will be deemed a failure to exhaust administrative remedies and will preclude any further

administrative or judicial review or appeal of this matter.

DATED this 6th day of August, 2020.

TODD E. KISER

Utah Insurance Commissioner

Utah Insurance Department

3110 State Office Building

Salt Lake City, UT 84114

801-538-3860

Email: <u>uidadmincases@utah.gov</u>

NOTICE REGARDING ENFORCEMENT OF THE ORDER

Failure to obey the Order may subject you to further penalties that include forfeitures of up to \$2,500 per violation, license suspension or revocation, and/or further enforcement action before the Utah District Court where forfeitures of up to \$10,000 may be imposed. If you are licensed in other jurisdictions, you may be required to report this proceeding to those jurisdictions.

DECLARATION

Under criminal penalty of Utah law, I, Randy Overstreet, declare the following:

- 1. I am currently employed as a manager in the Producer Licensing Financial Regulation & Licensing Division of the Utah Insurance Department where my responsibilities include investigating and enforcing Utah insurance laws.
- 2. I submit this Declaration as the basis for issuing the Notice of Informal Adjudicative Proceeding and Order against Alan Coltrin ("Respondent") to which this Declaration is attached.
- 3. Based on my personal knowledge and/or based on the facts appearing in the Department's records and files, the following facts are true:
 - a. On June 24, 2020, the Department received an online license application from Respondent for a resident producer individual insurance license.
 - i. Respondent answered "Yes" to the question that asked "[h]ave you been notified by any jurisdiction to which you are applying of any delinquent tax obligation that is not the subject of a repayment agreement?"
 - b. On March 17, 2014, a tax lien/monetary judgment in the amount of \$3,502.00 in favor of the Utah State Tax Commission was entered against Respondent in the Fourth District Court, Provo, Utah County, State of Utah. Based upon the court documents that I have reviewed, this tax lien/judgment remains outstanding.
 - c. On March 17, 2014, a tax lien/monetary judgment in the amount of \$7,538.00 in favor of the Utah State Tax Commission was entered against Respondent in the Fourth District Court, Provo, Utah County, State of Utah. Based upon the court documents that I have reviewed, this tax lien/judgment remains outstanding.

d. On March 17, 2014, a tax lien/monetary judgment in the amount of \$4,319.01 in favor of the Utah State Tax Commission was entered against Respondent in the Fourth District Court, Provo, Utah County, State of Utah. Based upon the court documents that I have reviewed, this tax lien/judgment remains outstanding.

e. On March 17, 2014, a tax lien/monetary judgment in the amount of \$1,586.98 in favor of the Utah State Tax Commission was entered against Respondent in the Fourth District Court, Provo, Utah County, State of Utah. Based upon the court documents that I have reviewed, this tax lien/judgment remains outstanding.

f. On April 21, 2014, a tax lien/monetary judgment in the amount of \$1,649.30 in favor of the Utah State Tax Commission was entered against Respondent in the Fourth District Court, Provo, Utah County, State of Utah. Based upon the court documents that I have reviewed, this tax lien/judgment remains outstanding.

4. The above declared facts demonstrate that the following Utah insurance law was not complied with:

a. Utah Code § 31A-23a-111(5)(b)(xxii), failing to pay State income tax, or to comply with an administrative or court order directing payment of State income tax.

5. Based on the facts and law set forth above, Respondent's application for a Utah resident producer individual insurance license should be denied.

Signed on this 4th day of August, 2020, at Salt Lake City, Utah.

/s/ Randy Overstreet

Randy Overstreet

Manager, Producer Licensing Financial Regulation

& Licensing Division

Utah Insurance Department