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**BEFORE THE UTAH INSURANCE COMMISSIONER**

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UTAH INSURANCE DEPARTMENT,  Complainant,	<b>NOTICE OF INFORMAL ADJUDICATIVE PROCEEDING AND ORDER</b>
vs.	
DAN G. ROBERTS,	Docket No. 2020-4262
Respondent.	

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**NOTICE OF INFORMAL ADJUDICATIVE PROCEEDING**

The Utah Insurance Department (“Department”) commences this informal adjudicative proceeding against Respondent Dan G. Roberts (“Respondent”) pursuant to Utah Code §§ 31A-2-201 and 63G-4-201 through -203 and Utah Admin. Code R590-160.

This informal adjudicative proceeding is based on the facts and law set forth in the attached Declaration and is designated as an informal adjudicative proceeding pursuant to Utah Code § 63G-4-202(1) and Utah Admin. Code R590-160-4 and -8.

**ORDER**

Based on the facts and the law set forth in the attached Declaration, and good cause appearing, the Utah Insurance Commissioner orders as follows:

1. Respondent’s resident producer individual insurance license is revoked.

2. Pursuant to Utah Code § 63G-4-203(1)(i) and Utah Admin. Code R590-160-8(1), this informal adjudicative proceeding shall be deemed closed, and this Order shall become final and take full effect, 15 days after this Notice of Informal Adjudicative Proceeding and Order is mailed to Respondent unless a written request for a hearing on this matter is received from Respondent prior to that date.

3. A request for a hearing shall be sent by email to [uidadmincases@utah.gov](mailto:uidadmincases@utah.gov) or by U.S. mail to Office of the Administrative Law Judge, Utah Insurance Department, 3110 State Office Building, Salt Lake City, UT 84114. The request for hearing shall be signed by the person making the request and shall state the basis for the relief requested.

4. If you fail to request a hearing you will be bound by this Order. Failure to request a hearing will be deemed a failure to exhaust administrative remedies and will preclude any further administrative or judicial review or appeal of this matter.

DATED this 15<sup>th</sup> day of October, 2020.

TANJI NORTHRUP  
Interim Utah Insurance Commissioner

/s/ Reed Stringham – Designee  
Presiding Officer  
Utah Insurance Department

**NOTICE REGARDING ENFORCEMENT OF THIS ORDER**

Failure to obey this Order may subject you to further penalties that include a forfeiture of up to \$2,500 per violation, with each day of the violation constituting a separate violation. Other penalties for failing to obey this Order may include license suspension, probation, refusal to renew, or revocation. Failure to obey this Order may also result in an action taken against you in a court of competent jurisdiction where forfeitures of up to \$10,000 for each day the failure to comply continues until judgment is rendered. If you are licensed in other jurisdictions, you may be required to report this proceeding to those jurisdictions.

## DECLARATION

Under criminal penalty of Utah law, I, Connie Nowland, declare the following:

1. I am currently employed as a market conduct examiner for the Utah Insurance Department (“Department”) where my responsibilities include investigating and enforcing Utah insurance laws.

2. I submit this Declaration as the basis for issuing the Notice of Informal Adjudicative Proceeding and Order against Dan G. Roberts (“Respondent”) to which this Declaration is attached.

3. Based on my personal knowledge and/or based on the facts appearing in the Department’s records and files, the following facts are true:

a. Respondent is a resident producer individual licensee, with license number 12156.

b. Respondent’s address is 1448 Meadow Moor Circle, Holladay, UT, 84117.

c. On September 10, 2020, Respondent was convicted of violating Utah Code § 76-6-1102, Identity Fraud, a 2nd Degree Felony; Utah Code § 76-6-501(2), Forgery, a 3rd Degree Felony; and Utah Code § 76-8-1101(1)(c)(I), Fail to Render a Proper Tax Return, a 3rd Degree Felony, in the Third District Court, Salt Lake County, State of Utah.

4. Utah Code §§ 31A-23a-111(5)(a), and 31A-23a-111(5)(b)(xiv)(A), provide the Commissioner with the authority to revoke a licensee’s insurance license on the basis of a felony conviction.

5. Based on the aforementioned paragraphs and law set forth above, Respondent's resident producer individual insurance license should be revoked.

Signed on this 15th day of October, 2020, at Salt Lake City, Utah.

/s/ Connie Nowland  
Connie Nowland  
Market Conduct Examiner  
Utah Insurance Department