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# BEFORE THE INSURANCE COMMISSIONER OF THE STATE OF UTAH

UTAH INSURANCE DEPARTMENT,  Complainant,  vs.	FINDINGS OF FACT, CONCLUSIONS OF LAW AND ORDER
SHIDELER BENNETT,	Docket No. 2021-4353
Respondent.	Donald H. Hansen Administrative Law Judge/Presiding Officer

Complainant, Utah Insurance Department ("Department") and Respondent, Shideler Bennett, have stipulated to entry of the following Findings of Fact, Conclusions of Law, and Order. Based upon that stipulation, and good cause appearing, the Presiding Officer makes and enters the following:

### **FINDINGS OF FACT**

- 1. Respondent is a resident individual producer holding Utah license number 614176.
- 2. Respondent's mailing address is
- 3. In December 2020, Respondent completed, signed, and submitted 29 Agent of Record Change Request Forms on behalf of policyholders without the knowledge or consent of those

policyholders.

- 4. Those 29 policies were transferred onto Respondent's book of business.
- 5. The improperly transferred policies were transferred back to the original agency/agent after the insurance carriers became aware of the improperly executed forms. Commission due under the policies was also transferred to the original agency.
- 6. The Department and Respondent have agreed to an administrative forfeiture of \$3,625.00.

### **CONCLUSIONS OF LAW**

- 1. The Utah Insurance Commissioner ("Commissioner") has jurisdiction over the parties and this adjudicative proceeding pursuant to Utah Code §§ 31A-1-105 and 31A-2-201.
- 2. The Commissioner has legal authority to impose penalties on the Department's licensees who violate the Utah Insurance Code. Utah Code § 31A-2-308.
- 3. Respondent violated Utah Code § 31A-23a-111(5)(b)(xviii)(B) by signing 29 Agent of Record Change Request forms without the knowledge or consent of the policyholders.
- 4. As penalties for the violations in this case, Respondent should be ordered to pay a forfeiture of \$3,625.00

### **ORDER**

Based on the foregoing Findings of Fact and Conclusions of Law, it is hereby Ordered that:

- 1. Respondent shall pay a forfeiture of \$3,625.00 for the violations described in the Conclusions of Law.
  - 2. Respondent may pay this forfeiture in monthly payments over 12 months. The first

payment shall be due within 30 days of the signing of this order.

3. Respondent is ordered not to commit the violations described in the Conclusions of

Law in the future.

DATED this 16<sup>th</sup> day of November, 2021.

JONATHAN T. PIKE

**Utah Insurance Commissioner** 

/s/ Donald H. Hansen

Donald H. Hansen Administrative Law Judge/Presiding Officer **Utah Insurance Department** 4315 South 2700 West, Suite 2300 Taylorsville, UT 84159

801-957-9321

Email: uidadmincases@utah.gov

**NOTICE TO RESPONDENT** 

Failure to obey this Order may subject you to further penalties that include a forfeiture of

up to \$2,500 per violation, with each day of the violation constituting a separate violation. Other

penalties for failing to obey this Order may include license suspension, probation, refusal to

renew, or revocation. Failure to obey this Order may also result in an action taken against you in

a court of competent jurisdiction where forfeitures of up to \$10,000 for each day the failure to

comply continues until judgment is rendered. If you are licensed in other jurisdictions, you may

be required to report this proceeding in those jurisdictions.

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## **CERTIFICATE OF SERVICE**

The undersigned certifies that on this date a true and correct copy of the foregoing

Findings of Fact, Conclusions of Law and Order was emailed to:

Shideler Bennett

Helen A. Frohlich Assistant Attorney General hfrohlich@agutah.gov

DATED this 16<sup>th</sup> day of November, 2021.

/s/ Jeanine Couser

Jeanine Couser Utah Insurance Department 4315 South 2700 West, Suite 2300 Taylorsville, UT 84129 801-957-9321