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### **BEFORE THE UTAH INSURANCE COMMISSIONER**

UTAH INSURANCE DEPARTMENT,	NOTICE OF AGENCY ACTION AND ORDER
Complainant,	
VS.	
	Docket No. 2022-4374
DAVID ARTHUR GLOVER,	
	Donald H. Hansen
Respondent.	Administrative Law Judge/Presiding Officer

## **NOTICE OF AGENCY ACTION**

The Utah Insurance Department ("the Department") commences this agency action as an

informal adjudicative proceeding against Respondent David Arthur Glover ("Respondent")

pursuant to Utah Code §§ 31A-2-201 and 63G-4-201 through -203 and to Utah Admin. Code R590-160.

This agency action is based on the facts and law set forth in the attached Declaration and is designated as an informal adjudicative proceeding pursuant to Utah Code § 63G-4-202(1) and Utah Admin Code R590-160-4 and -8.

#### <u>ORDER</u>

Based on the facts and the law set forth in the attached Declaration, and good cause appearing, the Utah Insurance Commissioner orders as follows:

1. Respondent's application to reinstate his Utah resident producer individual insurance license number 435446 is denied.

2. Pursuant to Utah Code § 63G-4-203(1)(i) and Utah Admin. Code R590-160-8(1), this informal adjudicative proceeding shall be deemed closed, and this Order shall become final and take full effect, 15 days after this Notice of Agency Action and Order is emailed to Respondent unless a request for a hearing on this matter is received from Respondent prior to that date.

3. A request for a hearing shall be in writing and sent by email to

<u>uidadmincases@utah.gov</u> or by U.S. mail to Office of the Administrative Law Judge, Utah Insurance Department, 4315 S. 2700 W., Suite 2300, Taylorsville, UT 84129. The request for hearing shall be signed by the person making the request and shall state the basis for the relief requested.

4. If you fail to request a hearing you will be bound by this Order. Failure to request a hearing will be deemed a failure to exhaust administrative remedies and will preclude any further administrative or judicial review or appeal of this matter.

DATED this 3<sup>rd</sup> day of February, 2022.

JONATHAN T. PIKE Utah Insurance Commissioner

<u>/s/ Donald H. Hansen</u> DONALD H. HANSEN Administrative Law Judge/Presiding Officer Utah Insurance Department 4315 S. 2700 W., Suite 2300 Taylorsville, UT 84129 Telephone: (801) 957-9321 Email: <u>uidadmincases@utah.gov</u>

### NOTICE REGARDING ENFORCEMENT OF THE ORDER

Failure to obey the Order may subject you to further penalties that include a forfeiture of up to \$2,500 per violation, with each day of the violation constituting a separate violation. Other penalties for failing to obey this Order include license suspension, probation, refusal to renew, or revocation. Failure to obey this Order may also result in an action taken against you in a court of competent where forfeitures of up \$10,000 for each day the failure to comply continues until judgement is rendered. If you are licensed in other jurisdictions, you may be required to report this proceeding to those jurisdictions.

### **DECLARATION**

Under criminal penalty of Utah law, I, Randy Overstreet, declare the following:

 I am currently employed as Manager of Producer Licensing in the Financial Regulation & Licensing Division with the Utah Insurance Department ("Department") where my responsibilities include investigating and enforcing Utah insurance laws.

2. I submit this Declaration as the basis for issuing the Notice of Agency Action and Order against David Arthur Glover ("Respondent") to which this Declaration is attached.

3. Based on my personal knowledge and/or based on the facts appearing in the Department's records and files, the following facts are true:

a. Respondent held Utah resident producer individual license number 435446.

b. In May 2021, the Department began investigating allegations that Respondent had

collected premium checks for insurance policies but failed to remit them to the insurer.

c. Effective October 31, 2021, Respondent's license lapsed and became inactive.

d. On November 5, 2021, Respondent applied for reinstatement of his inactive license.

e. On November 9, 2021, the Department filed a Complaint and Notice of Formal Adjudicative proceeding seeking to revoke Respondent's insurance license.

f. Respondent did not file a response to the Complaint and his default was entered on December 30, 2021.

g. On January 13, 2022, Respondent's license was revoked for multiple violations of the Utah Insurance Code. *See* <u>Utah Insurance Department vs. David Arthur Glover</u>, Docket No. 2021-4350.

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h. Under Utah Code § 31A-23a-111(5)(a)(iv) and -111(5)(b)(ii), the Commissioner may deny an insurance license application if the Commissioner finds a licensee violated the Utah Insurance Code.

4. The above declared facts demonstrate that the following Utah insurance laws were not complied with:

a. Utah Code § 31A-23a-111(5)(b)(xvii)(C), by having his insurance license revoked on the multiple grounds set forth in <u>Utah Insurance Department vs. David Arthur Glover</u>, Docket No. 2021-4350;

b. Utah Code § 31A-23a-107(2)(a)(ii), by failing to meet the trustworthy character requirement; and

c. Utah Code § 31A-23a-111(5)(b)(i), by being unqualified for a license under Utah Code § 31A-23a-104, -105, or -107.

5. Based on the facts and law set forth above, Respondent's application to reinstate his resident producer individual insurance license number 435446 should be denied.

Signed on this 3<sup>rd</sup> day of February, 2022, in Salt Lake City, Salt Lake County, State of Utah.

/s/ Randy Overstreet

Randy Overstreet, Manager, Producer Licensing Financial Regulation & Licensing Division Utah Insurance Department

# **CERTIFICATE OF SERVICE**

The undersigned hereby certifies that on this date a true and correct copy of the foregoing

Notice of Agency Action and Order, with the Declaration attached to it, was emailed to:

David Arthur Glover

and

Randy Overstreet Utah Insurance Department

DATED this 3<sup>rd</sup> day of February, 2022.

/s/ Jeanine Couser

Jeanine Couser Utah Insurance Department 4315 S. 2700 W., Suite 2300 Taylorsville, UT 84129 (801) 957-9321