



# Insurance Department

State of Utah

GARY R. HERBERT  
Governor  
SPENCER J. COX  
Lieutenant Governor  
TODD E. KISER  
Commissioner

## Bail Bond Oversight Board Meeting

([http://www.insurance.utah.gov/producers/bailbond\\_board.html](http://www.insurance.utah.gov/producers/bailbond_board.html))

**Date:** October 14, 2015

**Time:** Noon

**Place:** East Building, Copper Room

### BOARD MEMBERS

Brad Jenkins (Chair)  
Gordon Wright (Co-Chair)  
Lt. Kati Booth  
Stephen Aina

Ryan Cooper  
Peter Stevens  
Brett Barratt (Non-Voting)

### DEPARTMENT STAFF

Todd Kiser, *Ins. Commissioner*  
Reed Stringham, *AG Counsel*

Suzette Green-Wright, *MC Director*  
Steve Gooch, *PIO Recorder*

Cathy Burton, *Examiner*

## AGENDA

- **General Session (Open to the Public)**
  - Welcome / Brad Jenkins, Chair
  - Adoption of Minutes for July 14, 2015 Meeting
  - Adoption of Minutes for August 26, 2015 Teleconference
- **Executive Session - If Needed (Closed to the Public)**
- **General Session (Open to the Public)**
  - **Approve Recommended Actions from Executive Session** – Not needed
  - **Licensing Report for July through September** / Cathy
  - **Summary of Enforcement Reports** / Cathy
    - Proposed Penalties for Board's Review: None
    - Agency Audit Update / Cathy
  - **New Business**
    - **App:** Best Bail Bonds
    - **New App:** Xtreme Bail Bonds
    - Associations & Designations / Producer Licensing Specialist
    - Accessing Information on SERFF / Kathy Archuleta
  - **Old Business**
    - Update on Bail Bond Board Opening
  - **Other Business**
    - Open the January 14, 2015 minutes to make a change and have the minutes approved
- **Adjourned**
  - **Next Meeting:** January 13, 2016, Copper Room, East Building

### 2015 Meetings (Noon to 1:30pm)

Jan 14, 2015	Apr 8, 2015	July 14, 2015	Oct 14, 2015
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## Individual Bail Bond Licences for July 2015

### New Licenses

<u>INDV ID</u>	<u>First Name</u>	<u>Middle</u>	<u>Last Name</u>	<u>ORIG ISS DTE</u>	<u>EXPR DTE</u>	<u>QUAL CD</u>
1612601	BRIAN	BRENT	OLSEN	4-May-15	31-Dec-17	BL
1614186	KIMLEY	HONEY	PURVIS	18-May-15	30-Nov-17	BL
1614087	JOHN		WEBB	18-May-15	30-Sep-17	BL

### Renewed Licenses

<u>INDV ID</u>	<u>First Name</u>	<u>Middle</u>	<u>Last Name</u>	<u>ORIG ISS DTE</u>	<u>EXPR DTE</u>	<u>QUAL CD</u>	<u>REINST STS DTE</u>
32629	BERT	J	CHRISTENSON	30-Sep-98	31-May-17	BL	
1522436	CLINT	B	ERCANBRACK	2-Apr-13	31-May-17	BL	
1428077	NICOLE		HOALDRIDGE	15-Jul-10	31-May-17	BL	
30196	TAMARA	LYNNE	HOYT	30-Jun-10	31-May-17	BL	
108149	PATTY	B	JENKINS	1-Dec-04	31-May-17	BL	
1382868	S.	ANNETTE	JONES	30-Dec-08	31-May-17	BL	
1513318	DUSTIN	REX	LUND	12-Dec-12	31-May-17	BL	
1506598	MICHAEL	BRIAN	MCGIBBON	12-Oct-12	31-May-17	BL	
88467	BONNIE	COLLEEN	NIELSEN	1-Apr-03	31-May-17	BL	
31970	ADAM	SCOTT	ROGERS	31-Aug-02	31-May-17	BL	
30045	MELINDA	L	STARLEY	26-Mar-01	31-May-17	BL	

### Lapsed Licenses

<u>INDV ID</u>	<u>First Name</u>	<u>Middle</u>	<u>Last Name</u>	<u>ORIG ISS DTE</u>	<u>EXPR DTE</u>	<u>LAPSED DATE</u>	<u>QUAL CD</u>
1488672	ROSEMARIE	JOHANNA	MILLIGAN	8-May-12	30-Apr-15	30-May-15	BL

### Reinstated Licenses

<u>INDV ID</u>	<u>First Name</u>	<u>Middle</u>	<u>Last Name</u>	<u>ORIG ISS DTE</u>	<u>EXPR DTE</u>	<u>QUAL CD</u>	<u>REINST STS DTE</u>
30099	ALLEN		BERTAGNOLLI	9-Sep-98	30-Apr-17	BL	12-May-15
132898	CHASE	MCKAEN	COOPER	21-Aug-06	30-Apr-11	BL	12-May-15
1370292	ANNETTE		HOALDRIDGE	6-Aug-08	30-Apr-11	BL	5-May-15
1421467	CHARLES	CALEB	KINGSTON	4-May-10	30-Apr-17	BL	29-May-15

## Bail Bond Licences for August 2015

### Agencies

New Licenses: None

### Renewal Licenses

ORG ID	ORG NAME	ORIG ISS DTE	EXPR DTE	QUAL CD
16373	1ST OUT BAIL BONDS	18-Dec-13	14-Aug-16	BL
16373	1ST OUT BAIL BONDS	18-Dec-13	14-Aug-16	BL
166870	2ND CHANCE BAIL BONDS	18-Dec-13	14-Aug-16	BL
166870	2ND CHANCE BAIL BONDS	18-Dec-13	14-Aug-16	BL
4850	A SPORTSMANS BAIL BONDS	18-Dec-13	14-Aug-16	BL
4850	A SPORTSMANS BAIL BONDS	18-Dec-13	14-Aug-16	BL
4834	A-1 BAIL BONDS	18-Dec-13	14-Aug-16	BL
4834	A-1 BAIL BONDS	18-Dec-13	14-Aug-16	BL
6908	AA ROCKY MOUNTAIN BAIL BOND!	18-Dec-13	14-Aug-16	BL
6908	AA ROCKY MOUNTAIN BAIL BOND!	18-Dec-13	14-Aug-16	BL
6592	AAA BAIL BONDS	18-Dec-13	14-Aug-16	BL
6592	AAA BAIL BONDS	18-Dec-13	14-Aug-16	BL
163603	ACE BAIL BONDS LLC	18-Dec-13	14-Aug-16	BL
163603	ACE BAIL BONDS LLC	18-Dec-13	14-Aug-16	BL
176416	ALADDIN BAIL BONDS	16-Dec-14	14-Aug-16	BL
168991	ALL OUT BAIL BONDS	18-Dec-13	14-Aug-16	BL
168991	ALL OUT BAIL BONDS	18-Dec-13	14-Aug-16	BL
168076	APE BAIL BONDS, LLC	18-Dec-13	14-Aug-16	BL
168076	APE BAIL BONDS, LLC	18-Dec-13	14-Aug-16	BL
178706	AVAILABLE BAIL BONDS	14-Jul-15	14-Aug-16	BL
7726	B & B BAIL BONDS	18-Dec-13	14-Aug-16	BL
7726	B & B BAIL BONDS	18-Dec-13	14-Aug-16	BL
14048	BAD BOYS BAIL BONDS INC	18-Dec-13	14-Aug-16	BL
14048	BAD BOYS BAIL BONDS INC	18-Dec-13	14-Aug-16	BL
7718	BAIL OUT FAST	18-Dec-13	14-Aug-16	BL
7718	BAIL OUT FAST	18-Dec-13	14-Aug-16	BL

6554	BEEHIVE BAIL BONDS	18-Dec-13	14-Aug-16 BL
6554	BEEHIVE BAIL BONDS	18-Dec-13	14-Aug-16 BL
162911	BREAK OUT BAIL BONDS, LLC	18-Dec-13	14-Aug-16 BL
162911	BREAK OUT BAIL BONDS, LLC	18-Dec-13	14-Aug-16 BL
175097	BREAKING BAD BAIL BONDS	25-Apr-14	14-Aug-16 BL
13521	CEDAR POST/JAIL BUSTER BAIL BO	18-Dec-13	14-Aug-16 BL
13521	CEDAR POST/JAIL BUSTER BAIL BO	18-Dec-13	14-Aug-16 BL
4968	DEWEY'S BAIL BONDS	18-Dec-13	14-Aug-16 BL
4968	DEWEY'S BAIL BONDS	18-Dec-13	14-Aug-16 BL
175401	FAST N FURIOUS BAIL BONDS	16-Jul-14	14-Aug-16 BL
160275	FOREST STREET BAIL BONDS	18-Dec-13	14-Aug-16 BL
160275	FOREST STREET BAIL BONDS	18-Dec-13	14-Aug-16 BL
163382	GET ME OUT INC	18-Dec-13	14-Aug-16 BL
163382	GET ME OUT INC	18-Dec-13	14-Aug-16 BL
12187	GORDON BAIL BONDS	18-Dec-13	14-Aug-16 BL
12187	GORDON BAIL BONDS	18-Dec-13	14-Aug-16 BL
4884	GRANITE BAIL BONDS	18-Dec-13	14-Aug-16 BL
4884	GRANITE BAIL BONDS	18-Dec-13	14-Aug-16 BL
7824	HY AND MIKE'S BAIL BONDING	18-Dec-13	14-Aug-16 BL
7824	HY AND MIKE'S BAIL BONDING	18-Dec-13	14-Aug-16 BL
163360	LIBERTY BAIL BONDS	18-Dec-13	14-Aug-16 BL
163360	LIBERTY BAIL BONDS	18-Dec-13	14-Aug-16 BL
16399	MASSEY'S BAIL BONDS	18-Dec-13	14-Aug-16 BL
16399	MASSEY'S BAIL BONDS	18-Dec-13	14-Aug-16 BL
14182	PAYLESS BAIL BONDS	18-Dec-13	14-Aug-16 BL
14182	PAYLESS BAIL BONDS	18-Dec-13	14-Aug-16 BL
4837	PRICE BAIL BONDING	18-Dec-13	14-Aug-16 BL
4837	PRICE BAIL BONDING	18-Dec-13	14-Aug-16 BL
7034	STATEWIDE BAIL BONDING LLC	18-Dec-13	14-Aug-16 BL
7034	STATEWIDE BAIL BONDING LLC	18-Dec-13	14-Aug-16 BL
4950	STEVE'S BAIL BONDS	18-Dec-13	14-Aug-16 BL
4950	STEVE'S BAIL BONDS	18-Dec-13	14-Aug-16 BL
9587	SUN SURETY BAIL BONDS	18-Dec-13	14-Aug-16 BL
9587	SUN SURETY BAIL BONDS	18-Dec-13	14-Aug-16 BL
9587	SUN SURETY BAIL BONDS	18-Dec-13	14-Aug-16 BL
9587	SUN SURETY BAIL BONDS	18-Dec-13	14-Aug-16 BL

11703	UINTAH BASIN BAIL BONDS	18-Dec-13	14-Aug-16	BL
11703	UINTAH BASIN BAIL BONDS	18-Dec-13	14-Aug-16	BL
10996	UTAH BAIL BONDS	18-Dec-13	14-Aug-16	BL
10996	UTAH BAIL BONDS	18-Dec-13	14-Aug-16	BL

**Lapsed Licenses: None**

**Reinstated Licenses: None**

## Individuals

### New Licenses

<u>INDV ID</u>	<u>First Name</u>	<u>Middle</u>	<u>Last Name</u>	<u>ORIG ISS DTE</u>	<u>EXPR DTE</u>	<u>QUAL CD</u>
1618663	EMILY		JOHNSON	10-Aug-15	30-Apr-18	BL
1624641	STEVE		KETTER	17-Aug-15	30-Jun-18	BL
1622262	SANDRA		MATTHEWS	17-Aug-15	31-Jan-18	BL
1624125	JUDITH		MCWAIN	17-Aug-15	31-Mar-18	BL
1623180	NICHOLAS	J	PITTSO	4-Aug-15	30-Jun-18	BL
1623648	SHANNON		REYNOLDS	26-Aug-15	31-Jul-18	BL
1626498	NANETTE		STEGEN	26-Aug-15	31-Jan-18	BL

### Renewed Licenses

<u>INDV ID</u>	<u>First Name</u>	<u>Middle</u>	<u>Last Name</u>	<u>ORIG ISS DTE</u>	<u>EXPR DTE</u>	<u>QUAL CD</u>	<u>REINST STS DTE</u>
1516475	KENDRA	MARIE	GALLEGOS	16-Jan-13	31-Aug-17	BL	
1465455	Karlie		Hawkins	22-Aug-11	31-Aug-17	BL	
14639	EDWIN	W	KMAK	17-Sep-98	30-Sep-16	BL	
1455252	WENDY		MADRILL	14-Apr-11	31-Aug-17	BL	
76985	Michelle		Manley	12-Nov-08	31-Aug-17	BL	
1517636	CHANDLER	DAVID	ROBINSON	13-Feb-13	31-Aug-17	BL	
1463730	JULEE		ROSE	11-Aug-11	31-Aug-17	BL	
1510199	LANCE	ROBERT	WILLIAMSON	7-Nov-12	31-Aug-17	BL	

### Lapsed Licenses

<u>INDV ID</u>	<u>First Name</u>	<u>Middle</u>	<u>Last Name</u>	<u>ORIG ISS DTE</u>	<u>EXPR DTE</u>	<u>LAPSEDTE</u>	<u>QUAL CD</u>
1460502	Sarah		Altizer	28-Jun-11	31-Jul-15	30-Aug-15	BL
1504959	SCOTT		BURK	4-Oct-12	31-Jul-15	30-Aug-15	BL

1516382	JENNY	LEIGH	BURK	11-Feb-13	31-Jul-15	30-Aug-15 BL
1515150	MAURICIO	EDUARDO	COBO	6-Feb-13	31-Jul-15	30-Aug-15 BL
38438	LAMAR	LLOYD	DAVIS	9-May-13	31-Jul-15	30-Aug-15 BL
1525396	ERIC	CLYDE	FUNK	5-Jun-13	31-Jul-15	30-Aug-15 BL
1429372	CLINTON		HAVERON	8-Sep-10	31-Jul-15	30-Aug-15 BL
1505665	MATTHEW		MELLOR	3-Oct-12	31-Jul-15	30-Aug-15 BL
32541	BRENT	J	SPENCER	3-Oct-00	31-Jul-15	30-Aug-15 BL
1386570	TONY	IVAN	STAUFFER	17-Feb-09	31-Jul-15	30-Aug-15 BL

### Reinstated Licenses

<u>INDV ID</u>	<u>First Name</u>	<u>Middle</u>	<u>Last Name</u>	<u>ORIG ISS DTE</u>	<u>EXPR DTE</u>	<u>QUAL CD</u>	<u>REINST STS DTE</u>
147456	JAMIE	L	LARSEN	8-Jun-07	31-Jul-09	BL	11-Aug-15
41600	LAYNE	COOK	RICH	26-May-11	31-Jul-17	BL	11-Aug-15

## Bail Bond Agency License Activity for September 2015

### New Licenses

### Renewed Licenses

### Lapsed Licenses

<u>ORG ID</u>	<u>ORG NAME</u>	<u>ORIG ISS DTE</u>	<u>EXPR DTE</u>	<u>LAPSED DATE</u>	<u>QUAL CD</u>
4845	THOMAS PAPPAS BAIL BONDS	18-Dec-13	14-Aug-15	13-Sep-15	BL

### Reinstated Licenses

## Bail Bond Individual License Activity for September 2015

### New Licenses

<u>INDV ID</u>	<u>First Name</u>	<u>Middle</u>	<u>Last Name</u>	<u>ORIG ISS DTE</u>	<u>EXPR DTE</u>	<u>QUAL CD</u>
1626560	LORI	A	DAVISON	24-Sep-15	31-May-18	BL
1629076	SHELLY	CHRISTIANSEN	LAMONT	21-Sep-15	31-Jan-18	BL

### Renewed Licenses

<u>INDV ID</u>	<u>First Name</u>	<u>Middle</u>	<u>Last Name</u>	<u>ORIG ISS DTE</u>	<u>EXPR DTE</u>	<u>QUAL CD</u>	<u>REINST STS DTE</u>
99456	CADE	LANEY	COOPER	5-Mar-12	30-Sep-16	BL	
93635	Michele	Dyon	Flannery	27-Aug-03	30-Sep-17	BL	
1385235	ALBERT	F.	GRANT	25-Feb-09	30-Sep-17	BL	
1461009	TYLER	BRENT	WILLIS	29-Jun-11	30-Sep-17	BL	

### Lapsed Licenses

<u>INDV ID</u>	<u>First Name</u>	<u>Middle</u>	<u>Last Name</u>	<u>ORIG ISS DTE</u>	<u>EXPR DTE</u>	<u>QUAL CD</u>	<u>QUAL CD</u>
1515158	ANN		GOCKLEY	14-Jan-13	31-Aug-15	30-Sep-15	BL
1533962	AMANDA	ADELE	JUDD	9-Aug-13	31-Aug-15	30-Sep-15	BL

### Reinstated Licenses

<u>INDV ID</u>	<u>First Name</u>	<u>Middle</u>	<u>Last Name</u>	<u>ORIG ISS DTE</u>	<u>EXPR DTE</u>	<u>QUAL CD</u>	<u>REINST STS DTE</u>
108790	JOHN	FRANCIS	MARSHALL	23-Dec-04	31-Aug-17	BL	9-Sep-15
38459	BRENT	DELBERT	TAYLOR	15-Nov-00	31-Aug-17	BL	15-Sep-15





## Bail Bond Meeting Monthly Report

As of 9/30/2015

	<i>Jul/Aug/Sep</i>	<i>Calendar Year to Date</i>
<b><i>Complaints</i></b>		
Open	4	13
Closed	6	13
<b><i>Audits</i></b>		
Scheduled	2	7
Conducted	3	5
Closed	0	0
<b><i>Investigations</i></b>		
Open	10	30
Closed	10	27
<b><i>E-Cases</i></b>		
New	6	
Closed	3	
	<b><i>I-Cases</i></b>	<b><i>E-Cases</i></b>
Open 0 - 90 days	9	0
Open 91 - 120 days	0	0
Open 121 - 180 days	1	
Open over 180 days	0	0





# Application for Utah Resident Bail Bond Business Entity License

**RECEIVED**  
APR 06 2015  
Utah Insurance Dept.

**Utah Insurance Department**  
Suite 3110 State Office Building  
PO Box 146901  
Salt Lake City, UT 84114

Demographic Information					
① Business Entity Name <i>DJE Residential dba Best Bail Bonds</i>		② Incorporation/Formation Date (month) ___ (day) ___ (year) ___		③ FEIN [REDACTED]	
④ If assigned, National Producer Number (NP#)			⑤ If applicable, FINRA Firm Central Registration Depository (CRD) Number		
⑥ List any other assumed, fictitious, alias or trade names under which you are doing business or intend to do business. <i>dba Best Bail Bonds</i>				⑦ State of Domicile <i>Utah</i>	⑧ Country of Domicile <i>U.S.</i>
⑨ Is the business entity affiliated with a financial institution/bank? Yes <input type="checkbox"/> No <input type="checkbox"/>					
⑩ Business Address <i>3555 South 700 West #A</i>		⑪ City <i>Salt Lake City</i>	⑫ State <i>Utah</i>	⑬ Zip Code <i>84119</i>	⑭ Foreign Country
⑮ Phone Number (include extension) <i>(801) 263-2121</i>	⑯ Fax Number <i>(801) 263-2525</i>	⑰ Business Web Site Address <i>N/A</i>		⑱ Business E-Mail Address <i>BestBailBondsUt@gmail.com</i>	
⑲ Mailing Address <i>3555 South 700 West #A</i>		⑳ P.O. Box	㉑ City <i>Salt Lake City</i>	㉒ State <i>Utah</i>	㉓ Zip Code <i>84119</i>
<b>Designated/Responsible Licensed Producer</b>					
㉔ Identify at least one Designated/Responsible Licensed Producer responsible for the business entity's compliance with the insurance laws, rules, and regulations of this state.					
Name	<i>Wendy Madrill</i>	SSN	[REDACTED]		
Name	_____	SSN	-	-	_____
Name	_____	SSN	-	-	_____
Name	_____	SSN	-	-	_____
<b>Owners, Partners, Officers and Directors</b>					
㉕ Identify all owners with 10% interest or voting interest, partners, officers and directors of the business entity, or members or managers of a limited liability company:					
Name	<i>Jan Erickson</i>	Title	<i>President</i>	SSN/FEIN	[REDACTED] Owner: <input checked="" type="checkbox"/> Yes / No
Name	<i>Wendy Madrill</i>	Title	<i>Producer</i>	SSN/FEIN	[REDACTED] Owner: <input checked="" type="checkbox"/> Yes / No
Name	_____	Title	<i>License #375520</i>	SSN/FEIN	- - Owner: Yes / No
Name	_____	Title	<i>since 4/14/11</i>	SSN/FEIN	- - Owner: Yes / No
Name	_____	Title	_____	SSN/FEIN	- - Owner: Yes / No
Name	_____	Title	_____	SSN/FEIN	- - Owner: Yes / No
Name	_____	Title	_____	SSN/FEIN	- - Owner: Yes / No
Name	_____	Title	_____	SSN/FEIN	- - Owner: Yes / No

(State Use)

# Application for Utah Resident Bail Bond Business Entity License

## Business Entity Qualifications

27) Check the legal business type and the financial qualifications of the license for which you are applying.

### Legal Business Type:

- C - Corporation  
 P - Partnership  
 S - Sole Proprietorship
- LLC - Limited Liability Company  
 LLP - Limited Liability Partnership

### Financial Qualifications:

- Surety Insurer: \_\_\_\_\_ (provide name)
- Real/ Personal Property - \$300,00 Net Worth (\$100,000 Liquid)
- Financial Institution Line of Credit - \$300,000

## Required Additional Information with Initial Application

28) The following additional information is required. Please submit electronically to the department by faxing to the attention of Cathy Burton at 801-537-9113 or as a PDF attachment to an email at [caburton@utah.gov](mailto:caburton@utah.gov).

- 1) Articles of Organization and/or Articles of Incorporation identifying business' legal name and all owners, partners, shareholders and managers.
- 2) Proof of business entity registration through the Department of Commerce.
- 3) A copy of all forms to be used by the agency, filed with the department using the attached Property & Casualty Transmittal Document. **Note: The agency cannot begin business until these forms are filed.**
- 4) Proof of meeting the financial requirements by submitting one of the following:
  - a) **Letter of Credit Basis** – Applicant must provide an irrevocable letter of credit with a minimum face value of \$300,000 assigned to the State of Utah from a qualified Utah Financial Institution.
  - b) **Ownership of Real or Personal Property basis** - Applicant must provide the following:
    - i) A Certified Public Account reviewed financial statement as of the end of the most current fiscal year showing a net worth of at least \$300,000, including a minimum of \$100,000 in liquid assets.
    - ii) A copy of the applicant's federal income tax return for the prior two years.
    - iii) A preliminary title report dated not more than one month prior to the date of the application and an appraisal dated not more than two years prior to the date of the application for each parcel of real property owned by the applicant and included in the applicant's net worth calculation.
  - c) **Agent of a bail bond Surety Insurer basis** - Applicant must provide a qualifying power of attorney issued by the bail bond surety insurer.
- 5) Proof that at least one principal has a minimum of 2,000 hours of experience working as an employee of a bail bond surety company as a licensed bail bond agent, including the following:
  - a) a statement of the exact details of the character and nature of the experience;
  - b) a statement by each employer verifying the number of hours worked for the employer; and
  - c) federal income reporting forms that account for the wages for hours claimed, or documented approval of the claimed hours by the Utah Insurance Department (note: the total of 2,000 hours may be proved in part by federal income reporting forms and in part by approval by the insurance department).
- 6) Laws and Rules – The applicant is required to be aware of and comply with all the laws and rules governing the business of bail bonds. For the applicants information some of the laws that specifically apply to bail bonds can be found on our web site <https://www.insurance.utah.gov/> among other statues, the applicant may want to refer to Utah Code Annotated 31A-23a-409, 31A-35, and Utah Administrative Code R590-170, R590-186, R590-196.

**Application for  
Utah Resident Bail Bond Business Entity License**

**Background Information**

29) Please read the following very carefully and answer every question. All written statements submitted by the Applicant must include an original signature.

1. Has the business entity or any owner, partner, officer or director of the business entity, or member or manager of a limited liability company, ever been convicted of, or is the business entity or any owner, partner, officer or director, member or manager currently charged with, committing a crime, had a judgment withheld or deferred, or are you currently charged with committing a crime? Yes \_\_\_ No

"Crime" includes a misdemeanor, felony or a military offense. You may exclude misdemeanor traffic citations or convictions involving driving under the influence (DUI) or driving while intoxicated (DWI), driving without a license, reckless driving, or driving with a suspended or revoked license and juvenile offenses. "Convicted" includes, but is not limited to, having been found guilty by verdict of a judge or jury, having entered a plea of guilty or nolo contendere, or having been given probation, a suspended sentence or a fine.

If you answer yes, you must attach to this application:

- a) a written statement identifying all parties involved (including their percentage of ownership, if any) and explaining the circumstance of each incident.
- b) a copy of the charging document,
- c) a copy of the official document, which demonstrates the resolution of the charges or any final judgment.

2. Has the business entity or any owner, partner, officer or director, or manager or member of a limited liability company, ever been named or involved as a party in an administrative proceeding regarding any professional or occupational license, or registration? Yes  No  je

"Involved" means having a license censured, suspended, revoked, canceled, terminated; or, being assessed a fine, a cease and desist order, a prohibition order, a compliance order, placed on probation or surrendering a license to resolve an administrative action. "Involved" also means being named as a party to an administrative or arbitration proceeding, which is related to a professional or occupational license. "Involved" also means having a license application denied or the act of withdrawing an application to avoid a denial. You may EXCLUDE terminations due solely to noncompliance with continuing education requirements or failure to pay a renewal fee.

If you answer yes, you must attach to this application:

- a) written statement identifying the type of license, all parties involved (including their percentage of ownership, if any) and explaining the circumstances of each incident,
- b) copy of the Notice of Hearing or other document that states the charges and allegations, and
- c) copy of the official document which demonstrates the resolution of the charges or any final judgment.

3. Has any demand been made or judgment rendered against the business entity or any owner, partner, officer or director, or member or manager if a limited liability company, for overdue monies by an insurer, insured or producer, or have you ever been subject to a bankruptcy proceeding? Do not include personal bankruptcies, unless they involve funds held on behalf of others.. Yes \_\_\_ No

If you answer yes, submit a statement summarizing the details of the indebtedness and arrangements for repayment.

4. Has the business entity or any owner, partner, officer or director, or member or manager of a limited liability company, ever been notified by any jurisdiction to which you are applying of any delinquent tax obligation that is not the subject of a repayment agreement? Yes \_\_\_ No

If you answer yes, identify the jurisdiction(s): \_\_\_\_\_

5. Is the business entity or any owner, partner, officer or director a party to, or ever been found liable in any lawsuit or arbitration proceeding involving allegations of fraud, misappropriation or conversion of funds, misrepresentation or breach of fiduciary duty? Yes \_\_\_ No

If you answer yes, you must attach to this application:

- a) written statement summarizing the details of each incident,
- b) copy of the Petition, Complaint or other document that commenced the lawsuit arbitration or mediation proceedings and
- c) copy of the official document which demonstrates the resolution of the charges or any final judgment.

6. Has the business entity or any owner, partner, officer or director, or member or manager if a limited liability company, ever had an insurance agency contract or any other business relationship with an insurance company terminated for any alleged misconduct? Yes \_\_\_ No

If you answer yes, you must attach to this application:

- a) a written statement summarizing the details of each incident and explaining why you feel this incident should not prevent you from receiving an insurance license, and
- b) copies of all relevant documents.

7. Are you engaged in any unprofessional conduct as described in Utah Insurance Department Rule R590-186, Bail Bond Surety Companies? Yes \_\_\_ No

If you answer yes, you must attach to this application:

- a) a written statement summarizing the details of each incident and
- b) copies of all relevant documents (if necessary)

8. Have any of the person(s) named on this application willfully misstated or negligently reported any material fact in the application or procured a misstatement in the documents supporting the application? Yes \_\_\_ No

If you answer yes, you must attach to this application:

- a) a written statement summarizing the details
- b) copies of all relevant documents (if necessary)

**Application for  
Utah Resident Bail Bond Business Entity License**

9. Have any of the person(s) named on this application been the subject of any outstanding civil judgment? Yes \_\_\_ No    
 If you answer yes, you must attach to this application:   
 a) a written statement summarizing the details   
 b) copies of all relevant documents (if necessary)

10. Have you failed to report, preserve, and retain separately, or return collateral taken as security on any bond to the principal, indemnitor, or depositor of such collateral? Yes \_\_\_ No    
 If you answer yes, you must attach to this application:   
 a) a written statement summarizing the details   
 b) copies of all relevant documents (if necessary)

11. Have any of the person(s) named on this application have an outstanding judgment on a bail forfeiture which judgment is or has been subject to execution? Yes \_\_\_ No    
 If you answer yes, you must attach to this application:   
 a) a written statement summarizing the details   
 b) copies of all relevant documents (if necessary)

12. Do the person(s) named on this application certify: They are doing business under one name in the State of Utah and comply with state and local business regulations, including filing with the appropriate authority if doing business under an assumed name? Yes \_\_\_ No    
 If you answer yes, you must attach to this application:   
 a) a written statement summarizing the details   
 b) copies of all relevant documents (if necessary)

13. Do the person(s) named on this application certify: They are the holders of real or personal property in Utah? Yes  No \_\_\_   
 If you answer yes, you must attach to this application:   
 a) a written statement summarizing the details   
 b) copies of all relevant documents (if necessary)

**Applicant's Certification and Attestation**

30 On behalf of the business entity or limited liability company, the undersigned owner, partner, officer or director of the business entity, or member or manager of a limited liability company, hereby certifies, under penalty of perjury, that:

- All of the information submitted in this application and attachments is true and complete and I am aware that submitting false information or omitting pertinent or material information in connection with this application is grounds for license or registration revocation and may subject me and the business entity or limited liability company to civil or criminal penalties.
- Unless provided otherwise by law or regulation of the jurisdiction, the business entity or limited liability company hereby designates the Commissioner, Director or Superintendent of Insurance, or an appropriate representative in each jurisdiction for which this application is made to be its agent for service of process regarding all insurance matters in the respective jurisdiction and agree that service upon the Commissioner or Director of that jurisdiction is of the same legal force and validity as personal service upon the business entity.
- The business entity or limited liability company grants permission to the Commissioner or Director of Insurance in each jurisdiction for which this application is made to verify any information supplied with any federal, state or local government agency, current or former employer or insurance company.
- Every owner, partner, officer or director of the business entity, or member or manager of a limited liability company, either a) does not have a current child-support obligation, or b) has a child-support obligation and is currently in compliance with that obligation.
- I authorize the jurisdictions to give any information they may have concerning me to any federal, state or municipal agency, or any other organization and I release the jurisdictions and any person acting on their behalf from any and all liability of whatever nature by reason of furnishing such information.
- I acknowledge that I understand and comply with the insurance laws and regulations of the jurisdictions to which I am applying for licensure/registration.
- I hereby certify that upon request, I will furnish the jurisdiction(s) to which I am applying, certified copies of any documents attached to this application or requested by the jurisdiction(s).
- I hereby certify that I am the owner, partner, or officer of this named company; that all the information in this application is complete and true to the best of my knowledge and belief, and acknowledge that any misrepresentation or misstatement of facts may be cause for revocation of this license. By signing this application, I hereby authorize the commissioner to make inquiry of any person regarding this application.

Must be signed by an officer, director, or partner of the business entity, or member or manager if a limited liability company:

4/6/15

Month/Day/Year

*Jan Erickson*

Signature

Jan Erickson

Typed or Printed Name

President

Title

3555 South 700 W. #A,

Address (City, State, Zip) Salt Lake City Utah

# Application for Utah Resident Bail Bond Business Entity License

**Utah Insurance Department  
Suite 3110 State Office Building  
PO Box 146901  
Salt Lake City, UT 84114**

Demographic Information					
① Business Entity Name <i>Pawn Solutions LLC DBA Xtreme Bail Bonds</i>		② Incorporation/Formation Date (month) <i>Jan</i> (day) <i>3</i> (year) <i>2012</i>		③ FEIN <del>XXXXXXXXXX</del>	
④ If assigned, National Producer Number (NP#)			⑤ If applicable, FINRA Firm Central Registration Depository (CRD) Number		
⑥ List any other assumed, fictitious, alias or trade names under which you are doing business or intend to do business. <i>DBA Xtreme Bail Bonds</i>			⑦ State of Domicile <i>Utah</i>		⑧ Country of Domicile <i>United States</i>
⑨ Is the business entity affiliated with a financial institution/bank? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>					
⑩ Business Address <i>3363 S. 700 West</i>		⑪ City <i>Salt Lake City</i>	⑫ State <i>UT</i>	⑬ Zip Code <i>84119</i>	⑭ Foreign Country
⑮ Phone Number (include extension) <i>(801) 268-2000</i>		⑯ Fax Number <i>(801) 262-7458</i>	⑰ Business Web Site Address		⑱ Business E-Mail Address
⑲ Mailing Address <i>3363 S 700 West</i>		⑳ P.O. Box	㉑ City <i>Salt Lake City</i>	㉒ State <i>UT</i>	㉓ Zip Code <i>84119</i>
<b>Designated/Responsible Licensed Producer</b>					
㉔ Identify at least one Designated/Responsible Licensed Producer responsible for the business entity's compliance with the insurance laws, rules, and regulations of this state.					
Name <i>Melody Jenkins</i>		SSN <del>XXXXXXXXXX</del>			
Name <i>Brad Jenkins</i>		SSN <del>XXXXXXXXXX</del>			
Name _____		SSN - - -			
Name _____		SSN - - -			
<b>Owners, Partners, Officers and Directors</b>					
㉕ Identify all owners with 10% interest or voting interest, partners, officers and directors of the business entity, or members or managers of a limited liability company:					
Name <i>Brad Jenkins</i>		Title <i>Manager Owner</i>		SSN/FEIN <del>XXXXXXXXXX</del>	Owner: <input checked="" type="radio"/> Yes / No
Name _____		Title _____		SSN/FEIN - - -	Owner: Yes / No
Name _____		Title _____		SSN/FEIN - - -	Owner: Yes / No
Name _____		Title _____		SSN/FEIN - - -	Owner: Yes / No
Name _____		Title _____		SSN/FEIN - - -	Owner: Yes / No
Name _____		Title _____		SSN/FEIN - - -	Owner: Yes / No
Name _____		Title _____		SSN/FEIN - - -	Owner: Yes / No
Name _____		Title _____		SSN/FEIN - - -	Owner: Yes / No

(State Use)



# Application for Utah Resident Bail Bond Business Entity License

## Business Entity Qualifications

27) Check the legal business type and the financial qualifications of the license for which you are applying.

### Legal Business Type:

- |  |   |
|--|---|
| <input type="checkbox"/> C - Corporation         | <input checked="" type="checkbox"/> LLC - Limited Liability Company |
| <input type="checkbox"/> P - Partnership         | <input type="checkbox"/> LLP - Limited Liability Partnership        |
| <input type="checkbox"/> S - Sole Proprietorship |   |

### Financial Qualifications:

- Surety Insurer: \_\_\_\_\_ (provide name)
- Real/ Personal Property - \$300,00 Net Worth (\$100,000 Liquid)
- Financial Institution Line of Credit - \$300,000

## Required Additional Information with Initial Application

28) The following additional information is required. Please submit electronically to the department by faxing to the attention of Cathy Burton at 801-537-9113 or as a PDF attachment to an email at [caburton@utah.gov](mailto:caburton@utah.gov).

- 1) Articles of Organization and/or Articles of Incorporation identifying business' legal name and all owners, partners, shareholders and managers.
- 2) Proof of business entity registration through the Department of Commerce.
- 3) A copy of all forms to be used by the agency, filed with the department using the attached Property & Casualty Transmittal Document. **Note: The agency cannot begin business until these forms are filed.**
- 4) Proof of meeting the financial requirements by submitting one of the following:
  - a) **Letter of Credit Basis** – Applicant must provide an irrevocable letter of credit with a minimum face value of \$300,000 assigned to the State of Utah from a qualified Utah Financial Institution.
  - b) **Ownership of Real or Personal Property basis** - Applicant must provide the following:
    - i) A Certified Public Account reviewed financial statement as of the end of the most current fiscal year showing a net worth of at least \$300,000, including a minimum of \$100,000 in liquid assets.
    - ii) A copy of the applicant's federal income tax return for the prior two years.
    - iii) A preliminary title report dated not more than one month prior to the date of the application and an appraisal dated not more than two years prior to the date of the application for each parcel of real property owned by the applicant and included in the applicant's net worth calculation.
  - c) **Agent of a bail bond Surety Insurer basis** - Applicant must provide a qualifying power of attorney issued by the bail bond surety insurer.
- 5) Proof that at least one principal has a minimum of 2,000 hours of experience working as an employee of a bail bond surety company as a licensed bail bond agent, including the following:
  - a) a statement of the exact details of the character and nature of the experience;
  - b) a statement by each employer verifying the number of hours worked for the employer; and
  - c) federal income reporting forms that account for the wages for hours claimed, or documented approval of the claimed hours by the Utah Insurance Department (note: the total of 2,000 hours may be proved in part by federal income reporting forms and in part by approval by the insurance department).
- 6) **Laws and Rules** – The applicant is required to be aware of and comply with all the laws and rules governing the business of bail bonds. For the applicants information some of the laws that specifically apply to bail bonds can be found on our web site <https://www.insurance.utah.gov/> among other statues, the applicant may want to refer to Utah Code Annotated 31A-23a-409, 31A-35, and Utah Administrative Code R590-170, R590-186, R590-196.

**Application for  
Utah Resident Bail Bond Business Entity License**

**Background Information**

29 Please read the following very carefully and answer every question. All written statements submitted by the Applicant must include an original signature.

1. Has the business entity or any owner, partner, officer or director of the business entity, or member or manager of a limited liability company, ever been convicted of, or is the business entity or any owner, partner, officer or director, member or manager currently charged with, committing a crime, had a judgment withheld or deferred, or are you currently charged with committing a crime? Yes \_\_\_ No

"Crime" includes a misdemeanor, felony or a military offense. You may exclude misdemeanor traffic citations or convictions involving driving under the influence (DUI) or driving while intoxicated (DWI), driving without a license, reckless driving, or driving with a suspended or revoked license and juvenile offenses. "Convicted" includes, but is not limited to, having been found guilty by verdict of a judge or jury, having entered a plea of guilty or nolo contendere, or having been given probation, a suspended sentence or a fine.

If you answer yes, you must attach to this application:

- a) a written statement identifying all parties involved (including their percentage of ownership, if any) and explaining the circumstance of each incident.
- b) a copy of the charging document,
- c) a copy of the official document, which demonstrates the resolution of the charges or any final judgment.

2. Has the business entity or any owner, partner, officer or director, or manager or member of a limited liability company, ever been named or involved as a party in an administrative proceeding regarding any professional or occupational license, or registration? Yes \_\_\_ No

"Involved" means having a license censured, suspended, revoked, canceled, terminated; or, being assessed a fine, a cease and desist order, a prohibition order, a compliance order, placed on probation or surrendering a license to resolve an administrative action. "Involved" also means being named as a party to an administrative or arbitration proceeding, which is related to a professional or occupational license. "Involved" also means having a license application denied or the act of withdrawing an application to avoid a denial. You may EXCLUDE terminations due solely to noncompliance with continuing education requirements or failure to pay a renewal fee.

If you answer yes, you must attach to this application:

- a) written statement identifying the type of license, all parties involved (including their percentage of ownership, if any) and explaining the circumstances of each incident,
- b) copy of the Notice of Hearing or other document that states the charges and allegations, and
- c) copy of the official document which demonstrates the resolution of the charges or any final judgment.

3. Has any demand been made or judgment rendered against the business entity or any owner, partner, officer or director, or member or manager if a limited liability company, for overdue monies by an insurer, insured or producer, or have you ever been subject to a bankruptcy proceeding? Do not include personal bankruptcies, unless they involve funds held on behalf of others.. Yes \_\_\_ No

If you answer yes, submit a statement summarizing the details of the indebtedness and arrangements for repayment.

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If you answer yes, identify the jurisdiction(s): \_\_\_\_\_

5. Is the business entity or any owner, partner, officer or director a party to, or ever been found liable in any lawsuit or arbitration proceeding involving allegations of fraud, misappropriation or conversion of funds, misrepresentation or breach of fiduciary duty? Yes \_\_\_ No

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- a) written statement summarizing the details of each incident,
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- a) a written statement summarizing the details of each incident and explaining why you feel this incident should not prevent you from receiving an insurance license, and
- b) copies of all relevant documents.

7. Are you engaged in any unprofessional conduct as described in Utah Insurance Department Rule R590-186, Bail Bond Surety Companies? Yes \_\_\_ No

If you answer yes, you must attach to this application:

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8. Have any of the person(s) named on this application willfully misstated or negligently reported any material fact in the application or procured a misstatement in the documents supporting the application? Yes \_\_\_ No

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## Application for Utah Resident Bail Bond Business Entity License

9. Have any of the person(s) named on this application been the subject of any outstanding civil judgment? Yes \_\_\_ No

If you answer yes, you must attach to this application:  
 a) a written statement summarizing the details  
 b) copies of all relevant documents (if necessary)

10. Have you failed to report, preserve, and retain separately, or return collateral taken as security on any bond to the principal, indemnitor, or depositor of such collateral? Yes \_\_\_ No

If you answer yes, you must attach to this application:  
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If you answer yes, you must attach to this application:  
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12. Do the person(s) named on this application certify: They are doing business under one name in the State of Utah and comply with state and local business regulations, including filing with the appropriate authority if doing business under an assumed name? Yes  No \_\_\_

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13. Do the person(s) named on this application certify: They are the holders of real or personal property in Utah? Yes  No \_\_\_

If you answer yes, you must attach to this application:  
 a) a written statement summarizing the details  
 b) copies of all relevant documents (if necessary)

### Applicant's Certification and Attestation

- 30) On behalf of the business entity or limited liability company, the undersigned owner, partner, officer or director of the business entity, or member or manager of a limited liability company, hereby certifies, under penalty of perjury, that:
- All of the information submitted in this application and attachments is true and complete and I am aware that submitting false information or omitting pertinent or material information in connection with this application is grounds for license or registration revocation and may subject me and the business entity or limited liability company to civil or criminal penalties.
  - Unless provided otherwise by law or regulation of the jurisdiction, the business entity or limited liability company hereby designates the Commissioner, Director or Superintendent of Insurance, or an appropriate representative in each jurisdiction for which this application is made to be its agent for service of process regarding all insurance matters in the respective jurisdiction and agree that service upon the Commissioner or Director of that jurisdiction is of the same legal force and validity as personal service upon the business entity.
  - The business entity or limited liability company grants permission to the Commissioner or Director of Insurance in each jurisdiction for which this application is made to verify any information supplied with any federal, state or local government agency, current or former employer or insurance company.
  - Every owner, partner, officer or director of the business entity, or member or manager of a limited liability company, either a) does not have a current child-support obligation, or b) has a child-support obligation and is currently in compliance with that obligation.
  - I authorize the jurisdictions to give any information they may have concerning me to any federal, state or municipal agency, or any other organization and I release the jurisdictions and any person acting on their behalf from any and all liability of whatever nature by reason of furnishing such information.
  - I acknowledge that I understand and comply with the insurance laws and regulations of the jurisdictions to which I am applying for licensure/registration.
  - I hereby certify that upon request, I will furnish the jurisdiction(s) to which I am applying, certified copies of any documents attached to this application or requested by the jurisdictions(s).
  - I hereby certify that I am the owner, partner, or officer of this named company; that all the information in this application is complete and true to the best of my knowledge and belief, and acknowledge that any misrepresentation or misstatement of facts may be cause for revocation of this license. By signing this application, I hereby authorize the commissioner to make inquiry of any person regarding this application.

Must be signed by an officer, director, or partner of the business entity, or member or manager if a limited liability company:

10-9-15  
 Month/Day/Year

*Brad Jenkins*  
 Signature

Brad Jenkins  
 Typed or Printed Name

Manager - DWAVE  
 Title

3363 S. 700 W SLC UT  
 Address (City, State, Zip)

84119