



# Insurance Department

State of Utah

GARY R. HERBERT  
Governor  
SPENCER J. COX  
Lieutenant Governor  
TODD E. KISER  
Commissioner

## Bail Bond Surety Oversight Board Meeting

<https://insurance.utah.gov/licensee/other/bail-bond/board>

**Date:** April 11, 2018

**Time:** Noon

**Place:** East Building, Copper Room

### BOARD MEMBERS

Clay Carlos (Chair)  
Ryan Cooper (Co-Chair)  
Lt. Kati Booth  
Stephen Aina

Dominic Sanone  
Tony Choate  
Curt Oda  
Vacant (Non-Voting)

### DEPARTMENT STAFF

Todd Kiser, *Ins. Commissioner*  
Suzette Green-Wright, *MC Director*  
Tracy Klausmeier, *P&C Director*

Tanji Northrup, *Deputy Comm.*  
Cathy Burton, *Examiner*  
Steve Gooch, *PIO Recorder*

Reed Stringham, *Deputy Comm.*  
Perri Babalis, *AG Counsel*

## AGENDA

- **General Session (Open to the Public)**
  - Welcome / Clay Carlos, Chair
  - Adoption of Minutes for January 10, 2018 meeting
  - Pretrial Risk Assessment status update / Keisa Williams & Jacey Skinner
- **Executive Session - If Needed (Closed to the Public)**
- **General Session (Open to the Public)**
  - **Approve Recommended Actions from Executive Session** – Not needed
  - **Licensing Report for January through March** / Cathy
  - **Summary of Enforcement Reports** / Cathy
    - Enforcement / Cathy
    - Agency Audit Update / Cathy
    - Proposed Penalties for Board's Review: None
  - **New Business**
    - **New App:** Bonds R Us
  - **Old Business**
  - **Other Business**
    - Pretrial study from JL Webber / Cathy
    - Department changes / Commissioner Kiser
- **Adjourned**
  - **Next Meeting:** July 11, 2018 — Copper Room, East Building

### 2018 Meetings (Noon to 1:30pm)

Jan 10, 2018	Apr 11, 2018	July 11, 2018	Oct 10, 2018
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# Bail Bond Agency/Company Monthly Report

For the month of January 2018

## New Licenses:

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Agency	Name	Original Issue Date	Expiration Date
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None

## Lapsed Licenses:

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Agency	Name	Original Issue Date	Expiration Date	Lapse Date
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None

## Suspended Licenses:

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Agency	Name	Original Issue Date	Expiration Date	Reinstate Date
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97876	Statewide Bail Bonding LLC	15-Jul-99	3-Jan-18	
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## Reinstated Licenses:

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Agency	Name	Original Issue Date	Suspended Date	Reinstate Date
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None

## Bail Bond Individual Monthly Report

For the month of January 2018

### New Licenses:

Individual	Name	Original Issue Date	Expiration Date
1742091	FORTIE, BRAKELL	10-Jan-18	31-Dec-20
1742051	KRAMER, STEPHANIE	11-Jan-18	30-Sep-20
1742728	MARTINEZ, JULIA	16-Jan-18	31-Jul-20

### Lapsed Licenses:

Individual	Name	Original Issue Date	Expiration Date	Lapsed Date
1605070	ANDERSON, STEPHANIE	10-Mar-15	31-Dec-17	30-Jan-18
71419	KESLER, MICHELLE	7-Oct-15	31-Dec-17	30-Jan-18
1619183	MCGEE, COURTNEY	8-Jul-15	31-Dec-17	30-Jan-18
1619028	MOORE, DAVID	8-Jul-15	31-Dec-17	30-Jan-18
1612601	OLSEN, BRIAN	4-May-15	31-Dec-17	30-Jan-18
1608863	PILI, KIERA	21-Apr-15	31-Dec-17	30-Jan-18

### Reinstated Licenses:

Individual	Name	Original Issue Date	Expiration Date	Reinstated Date	Days Lapsed
1370614	BRADY, SHANTAE	11-Aug-08	30-Jun-19	10-Jan-18	194
105549	OSTMANN, LAURIE	8-Sep-04	30-Jun-19	12-Jan-18	196

# Bail Bond Agency/Company Monthly Report

For the month of February 2018

## New Licenses:

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Agency	Name	Original Issue Date	Expiration Date
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None

## Lapsed Licenses:

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Agency	Name	Original Issue Date	Expiration Date	Lapse Date
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None

## Reinstated Licenses:

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Agency	Name	Original Issue Date	Expiration Date	Reinstated Date
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None

## Bail Bond Individual Monthly Report

For the month of February 2018

### New Licenses:

Individual	Name	Original Issue Date	Expiration Date
1749169	ALICK, RAYMOND	26-Feb-18	30-Apr-20
1745045	CUMMINGS, BRONSON	9-Feb-18	31-Mar-20
1611636	HART, SAMUEL	5-Feb-18	31-Mar-20

### Lapsed Licenses:

Individual	Name	Original Issue Date	Expiration Date	Lapsed Date
1345649	GARCIA, NORMA	2-Nov-07	31-Jan-18	2-Mar-18
1607132	HOWARD, DANIELLE	30-Mar-15	31-Jan-18	2-Mar-18
1629076	LAMONT, SHELLY	21-Sep-15	31-Jan-18	2-Mar-18
1465190	SUNDBERG, SUE	25-Aug-11	31-Jan-18	2-Mar-18
1638927	WASHINGTON, VICKIE	24-Nov-15	31-Jan-18	2-Mar-18

### Reinstated Licenses:

Individual	Name	Original Issue Date	Expiration Date	Reinstated Date	Days Lapsed
86416	BANKS, ROBBIN	6-Feb-03	31-Jan-20	14-Feb-18	14
1351098	BIRD, TINA	22-Jan-08	31-Jan-20	21-Feb-18	21
101608	ELDREDGE, STEPHEN	12-Oct-10	31-Mar-19	15-Feb-18	15
1513201	RYDALCH, ANTHONY	28-Mar-13	31-Jan-20	28-Feb-18	28
1626498	STEGEN, NANETTE	26-Aug-15	31-Jan-20	28-Feb-18	28
1408521	STEPHENS, PAMELA	4-Nov-09	31-Jan-20	14-Feb-18	14

# Bail Bond Agency/Company Monthly Report

For the month of March 2018

## New Licenses:

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Agency	Name	Original Issue Date	Expiration Date
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None

## Lapsed Licenses:

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Agency	Name	Original Issue Date	Expiration Date	Lapse Date
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None

## Reinstated Licenses:

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Agency	Name	Original Issue Date	Expiration Date	Reinstated Date
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None

# Bail Bond Individual Monthly Report

For the month of March 2018

## New Licenses:

Individual	Name	Original Issue Date	Expiration Date
1751997	CARSON, ALISA	16-Mar-18	30-Nov-20
121968	MICHAELS, CASSIE	26-Mar-18	31-Dec-20
1751617	MONDEN, DUTCHESS	20-Mar-18	31-Mar-20
1751784	TOVEY, DREW	15-Mar-18	31-Aug-20

## Lapsed Licenses:

Individual	Name	Original Issue Date	Expiration Date	Lapsed Date
1612031	BOSWELL, BRONSON	1-Jun-15	28-Feb-18	30-Mar-18
115597	BRADY, SKYLER	5-Jul-05	28-Feb-18	30-Mar-18
62070	COOPER, BRADEN	30-Jan-01	28-Feb-18	30-Mar-18
138496	COPADO, LUIS	8-Jul-13	28-Feb-18	30-Mar-18
1541016	GOODWIN, TRENT	3-Oct-13	28-Feb-18	30-Mar-18
148862	GURULE, NED	26-Jun-07	28-Feb-18	30-Mar-18
1633704	LAY, INGER	7-Oct-15	28-Feb-18	30-Mar-18
1462914	REED, DENIM	18-Aug-11	28-Feb-18	30-Mar-18

## Reinstated Licences:

Individual	Name	Original Issue Date	Expiration Date	Reinstated Date	Days Lapsed
69791	COLEY, LLOYD	17-Sep-01	29-Feb-20	8-Mar-18	8



# Bail Bond Meeting Quarterly Report

As of 03/31/2018

## *Complaints*

	Jan/Feb/Mar	Fiscal Year to Date
Open	0	0
Closed	0	0

## *Audits*

Scheduled	3	3
Conducted		8
Closed	0	9

## *Investigations*

Open	16	39
Closed	8	27

## *E-Case*

New	2	4
Closed		4



	I-Cases	E-Cases
Open 0-90 days	8	3
Open 91-120 days	0	0
Open 121 - 180 days	0	0
Open over 180 days	0	1







# Application for Utah Resident Bail Bond Business Entity License

**Utah Insurance Department  
Suite 3110 State Office Building  
PO Box 146901  
Salt Lake City, UT 84114**

Demographic Information			
① Business Entity Name <b>Bad Boys Of Utah</b>		② Incorporation/Formation Date (month) <u>9</u> (day) <u>1</u> (year) <u>2014</u>	③ FEIN [REDACTED]
④ If assigned, National Producer Number (NP#)		⑤ If applicable, FINRA Firm Central Registration Depository (CRD) Number	
⑥ List any other assumed, fictitious, alias or trade names under which you are doing business or intend to do business.  <b>Bonds R Us</b>		⑦ State of Domicile <b>Utah</b>	⑧ Country of Domicile <b>USA</b>
⑨ Is the business entity affiliated with a financial institution/bank?      Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>			
⑩ Business Address <b>3528 S 700 W</b>	⑪ City <b>Riverdale</b>	⑫ State <b>UT</b>	⑬ Zip Code <b>84405</b>
⑭ Foreign Country	⑮ Phone Number (include extension) (801) 391-3994	⑯ Fax Number (801) 752-8523	⑰ Business Web Site Address
⑱ Business E-Mail Address <b>bondsrus@yahoo.com</b>	⑲ Mailing Address	⑳ P.O. Box <b>3934</b>	㉑ City <b>Ogden</b>
㉒ State <b>UT</b>	㉓ Zip Code <b>84409</b>	㉔ Foreign Country	
Designated/Responsible Licensed Producer			
㉕ Identify at least one Designated/Responsible Licensed Producer responsible for the business entity's compliance with the insurance laws, rules, and regulations of this state.			
Name <u>Allison Jensen Chaffee</u>	SSN <u>[REDACTED]</u>		
Name _____	SSN - - -		
Name _____	SSN - - -		
Name _____	SSN - - -		
Owners, Partners, Officers and Directors			
㉖ Identify all owners with 10% interest or voting interest, partners, officers and directors of the business entity, or members or managers of a limited liability company:			
Name <u>Allison Jensen Chaffee</u>	Title <u>Owner</u>	SSN/FEIN <u>[REDACTED]</u>	Owner: <input checked="" type="checkbox"/> Yes / No
Name _____	Title _____	SSN/FEIN - - -	Owner: Yes / No
Name _____	Title _____	SSN/FEIN - - -	Owner: Yes / No
Name _____	Title _____	SSN/FEIN - - -	Owner: Yes / No
Name _____	Title _____	SSN/FEIN - - -	Owner: Yes / No
Name _____	Title _____	SSN/FEIN - - -	Owner: Yes / No
Name _____	Title _____	SSN/FEIN - - -	Owner: Yes / No
Name _____	Title _____	SSN/FEIN - - -	Owner: Yes / No

(State Use)

# Application for Utah Resident Bail Bond Business Entity License

## Business Entity Qualifications

27 Check the legal business type and the financial qualifications of the license for which you are applying.

### Legal Business Type:

- |                                                  |                                                                     |
|--------------------------------------------------|---------------------------------------------------------------------|
| <input type="checkbox"/> C - Corporation         | <input checked="" type="checkbox"/> LLC - Limited Liability Company |
| <input type="checkbox"/> P - Partnership         | <input type="checkbox"/> LLP - Limited Liability Partnership        |
| <input type="checkbox"/> S - Sole Proprietorship |                                                                     |

### Financial Qualifications:

- Surety Insurer: American Surety Company (provide name)
- Real/ Personal Property - \$300,00 Net Worth (\$100,000 Liquid)
- Financial Institution Line of Credit - \$300,000

## Required Additional Information with Initial Application

28 The following additional information is required. Please submit electronically to the department by faxing to the attention of Cathy Burton at 801-537-9113 or as a PDF attachment to an email at [caburton@utah.gov](mailto:caburton@utah.gov).

- 1) Articles of Organization and/or Articles of Incorporation identifying business' legal name and all owners, partners, shareholders and managers.
- 2) Proof of business entity registration through the Department of Commerce.
- 3) A copy of all forms to be used by the agency, filed with the department using the attached Property & Casualty Transmittal Document. **Note: The agency cannot begin business until these forms are filed.**
- 4) Proof of meeting the financial requirements by submitting one of the following:
  - a) **Letter of Credit Basis** – Applicant must provide an irrevocable letter of credit with a minimum face value of \$300,000 assigned to the State of Utah from a qualified Utah Financial Institution.
  - b) **Ownership of Real or Personal Property basis** - Applicant must provide the following:
    - i) A Certified Public Account reviewed financial statement as of the end of the most current fiscal year showing a net worth of at least \$300,000, including a minimum of \$100,000 in liquid assets.
    - ii) A copy of the applicant's federal income tax return for the prior two years.
    - iii) A preliminary title report dated not more than one month prior to the date of the application and an appraisal dated not more than two years prior to the date of the application for each parcel of real property owned by the applicant and included in the applicant's net worth calculation.
  - c) **Agent of a bail bond Surety Insurer basis** - Applicant must provide a qualifying power of attorney issued by the bail bond surety insurer.
- 5) Proof that at least one principal has a minimum of 2,000 hours of experience working as an employee of a bail bond surety company as a licensed bail bond agent, including the following:
  - a) a statement of the exact details of the character and nature of the experience;
  - b) a statement by each employer verifying the number of hours worked for the employer; and
  - c) federal income reporting forms that account for the wages for hours claimed, or documented approval of the claimed hours by the Utah Insurance Department (note: the total of 2,000 hours may be proved in part by federal income reporting forms and in part by approval by the insurance department).
- 6) **Laws and Rules** – The applicant is required to be aware of and comply with all the laws and rules governing the business of bail bonds. For the applicants information some of the laws that specifically apply to bail bonds can be found on our web site <https://www.insurance.utah.gov/> among other statutes, the applicant may want to refer to Utah Code Annotated 31A-23a-409, 31A-35, and Utah Administrative Code R590-170, R590-186, R590-196.

**Application for  
Utah Resident Bail Bond Business Entity License**

**Background Information**

29 Please read the following very carefully and answer every question. All written statements submitted by the Applicant must include an original signature.

1. Has the business entity or any owner, partner, officer or director of the business entity, or member or manager of a limited liability company, ever been convicted of, or is the business entity or any owner, partner, officer or director, member or manager currently charged with, committing a crime, had a judgment withheld or deferred, or are you currently charged with committing a crime? Yes \_\_\_ No

"Crime" includes a misdemeanor, felony or a military offense. You may exclude misdemeanor traffic citations or convictions involving driving under the influence (DUI) or driving while intoxicated (DWI), driving without a license, reckless driving, or driving with a suspended or revoked license and juvenile offenses. "Convicted" includes, but is not limited to, having been found guilty by verdict of a judge or jury, having entered a plea of guilty or nolo contendere, or having been given probation, a suspended sentence or a fine.

If you answer yes, you must attach to this application:

- a) a written statement identifying all parties involved (including their percentage of ownership, if any) and explaining the circumstance of each incident.
- b) a copy of the charging document,
- c) a copy of the official document, which demonstrates the resolution of the charges or any final judgment.

2. Has the business entity or any owner, partner, officer or director, or manager or member of a limited liability company, ever been named or involved as a party in an administrative proceeding regarding any professional or occupational license, or registration? Yes \_\_\_ No

"Involved" means having a license censured, suspended, revoked, canceled, terminated; or, being assessed a fine, a cease and desist order, a prohibition order, a compliance order, placed on probation or surrendering a license to resolve an administrative action. "Involved" also means being named as a party to an administrative or arbitration proceeding, which is related to a professional or occupational license. "Involved" also means having a license application denied or the act of withdrawing an application to avoid a denial. You may EXCLUDE terminations due solely to noncompliance with continuing education requirements or failure to pay a renewal fee.

If you answer yes, you must attach to this application:

- a) written statement identifying the type of license, all parties involved (including their percentage of ownership, if any) and explaining the circumstances of each incident,
- b) copy of the Notice of Hearing or other document that states the charges and allegations, and
- c) copy of the official document which demonstrates the resolution of the charges or any final judgment.

3. Has any demand been made or judgment rendered against the business entity or any owner, partner, officer or director, or member or manager if a limited liability company, for overdue monies by an insurer, insured or producer, or have you ever been subject to a bankruptcy proceeding? Do not include personal bankruptcies, unless they involve funds held on behalf of others.. Yes \_\_\_ No

If you answer yes, submit a statement summarizing the details of the indebtedness and arrangements for repayment.

4. Has the business entity or any owner, partner, officer or director, or member or manager of a limited liability company, ever been notified by any jurisdiction to which you are applying of any delinquent tax obligation that is not the subject of a repayment agreement? Yes \_\_\_ No

If you answer yes, identify the jurisdiction(s): \_\_\_\_\_

5. Is the business entity or any owner, partner, officer or director a party to, or ever been found liable in any lawsuit or arbitration proceeding involving allegations of fraud, misappropriation or conversion of funds, misrepresentation or breach of fiduciary duty? Yes \_\_\_ No

If you answer yes, you must attach to this application:

- a) written statement summarizing the details of each incident,
- b) copy of the Petition, Complaint or other document that commenced the lawsuit arbitration or mediation proceedings and
- c) copy of the official document which demonstrates the resolution of the charges or any final judgment.

6. Has the business entity or any owner, partner, officer or director, or member or manager if a limited liability company, ever had an insurance agency contract or any other business relationship with an insurance company terminated for any alleged misconduct? Yes \_\_\_ No

If you answer yes, you must attach to this application:

- a) a written statement summarizing the details of each incident and explaining why you feel this incident should not prevent you from receiving an insurance license, and
- b) copies of all relevant documents.

7. Are you engaged in any unprofessional conduct as described in Utah Insurance Department Rule R590-186, Bail Bond Surety Companies? Yes \_\_\_ No

If you answer yes, you must attach to this application:

- a) a written statement summarizing the details of each incident and
- b) copies of all relevant documents (if necessary)

8. Have any of the person(s) named on this application willfully misstated or negligently reported any material fact in the application or procured a misstatement in the documents supporting the application? Yes \_\_\_ No

If you answer yes, you must attach to this application:

- a) a written statement summarizing the details
- b) copies of all relevant documents (if necessary)

**Application for  
Utah Resident Bail Bond Business Entity License**

9. Have any of the person(s) named on this application been the subject of any outstanding civil judgment? Yes \_\_\_ No
- If you answer yes, you must attach to this application:  
a) a written statement summarizing the details  
b) copies of all relevant documents (if necessary)
10. Have you failed to report, preserve, and retain separately, or return collateral taken as security on any bond to the principal, indemnitor, or depositor of such collateral? Yes \_\_\_ No
- If you answer yes, you must attach to this application:  
a) a written statement summarizing the details  
b) copies of all relevant documents (if necessary)
11. Have any of the person(s) named on this application have an outstanding judgment on a bail forfeiture which judgment is or has been subject to execution? Yes \_\_\_ No
- If you answer yes, you must attach to this application:  
a) a written statement summarizing the details  
b) copies of all relevant documents (if necessary)
12. Do the person(s) named on this application certify: They are doing business under one name in the State of Utah and comply with state and local business regulations, including filing with the appropriate authority if doing business under an assumed name? Yes  No \_\_\_
- If you answer yes, you must attach to this application:  
a) a written statement summarizing the details  
b) copies of all relevant documents (if necessary)
13. Do the person(s) named on this application certify: They are the holders of real or personal property in Utah? Yes  No \_\_\_
- If you answer yes, you must attach to this application:  
a) a written statement summarizing the details  
b) copies of all relevant documents (if necessary)

**Applicant's Certification and Attestation**

30 On behalf of the business entity or limited liability company, the undersigned owner, partner, officer or director of the business entity, or member or manager of a limited liability company, hereby certifies, under penalty of perjury, that:

- All of the information submitted in this application and attachments is true and complete and I am aware that submitting false information or omitting pertinent or material information in connection with this application is grounds for license or registration revocation and may subject me and the business entity or limited liability company to civil or criminal penalties.
- Unless provided otherwise by law or regulation of the jurisdiction, the business entity or limited liability company hereby designates the Commissioner, Director or Superintendent of Insurance, or an appropriate representative in each jurisdiction for which this application is made to be its agent for service of process regarding all insurance matters in the respective jurisdiction and agree that service upon the Commissioner or Director of that jurisdiction is of the same legal force and validity as personal service upon the business entity.
- The business entity or limited liability company grants permission to the Commissioner or Director of Insurance in each jurisdiction for which this application is made to verify any information supplied with any federal, state or local government agency, current or former employer or insurance company.
- Every owner, partner, officer or director of the business entity, or member or manager of a limited liability company, either a) does not have a current child-support obligation, or b) has a child-support obligation and is currently in compliance with that obligation.
- I authorize the jurisdictions to give any information they may have concerning me to any federal, state or municipal agency, or any other organization and I release the jurisdictions and any person acting on their behalf from any and all liability of whatever nature by reason of furnishing such information.
- I acknowledge that I understand and comply with the insurance laws and regulations of the jurisdictions to which I am applying for licensure/registration.
- I hereby certify that upon request, I will furnish the jurisdiction(s) to which I am applying, certified copies of any documents attached to this application or requested by the jurisdiction(s).
- I hereby certify that I am the owner, partner, or officer of this named company; that all the information in this application is complete and true to the best of my knowledge and belief, and acknowledge that any misrepresentation or misstatement of facts may be cause for revocation of this license. By signing this application, I hereby authorize the commissioner to make inquiry of any person regarding this application.

Must be signed by an officer, director, or partner of the business entity, or member or manager if a limited liability company:

04/03/2018

Month/Day/Year

  
Signature

Allison Jensen Chaffee

Typed or Printed Name

Owner

Title

3528 S 700 W Riverdale UT 84405

Address (City, State, Zip)