



State of Utah

SPENCER J. COX
Governor

DEIDRE M. HENDERSON
Lieutenant Governor

Insurance Department

JONATHAN T. PIKE
Insurance Commissioner

September 24, 2021

Gery Craig
[REDACTED]

Re: Application to Engage in the Business of Insurance Pursuant to 18 U.S.C. § 1033

Dear Mr. Craig,

Together with the 18 U.S.C. §1033 Advisory Committee, I have reviewed your Application to Utah Insurance Commissioner for Written Consent to Engage in the Business of Insurance Pursuant to 18 U.S.C. §§ 1033 and 1034 (“Application”) dated August 23, 2021.

Based upon your Application, the additional information you provided, as well as an internal legal review of your information, I have determined that your Application is **CONDITIONALLY APPROVED**, as follows:

1. Your request for a waiver to engage in the business of insurance as an accident and health insurance producer is **APPROVED**. To engage in the business of insurance as an accident and health insurance producer you must first submit a license application to the Utah Insurance Department for review.
2. You may not engage in the business of insurance as a life insurance producer; this portion of your request is **DENIED**.
3. If you apply for a license, your license will be placed on a 24-month probation, starting on the day your license is issued. The following terms apply to the probation:
 - a. No violations of any insurance laws, or convictions of a crime that is financial in nature.
 - b. Submission of a report every six months starting when a license is issued. For example, if your license is issued on October 15, 2021, the reports will be due April 15, 2021, October 15, 2021, April 15, 2022, and October 15, 2022.
 - i. The probation report should be submitted to 1033cmte@utah.gov.
 - ii. Each probation report should include:
 1. a list of your block of business that includes insured name, insurer name, type of policy, and issue date;
 2. detailed description of any action taken against you by an insurer;

3. any investigation that is financial in nature that you are a party to;
and
4. any misdemeanor or felony you have been convicted of during the probationary period.
4. If you violate the terms of probation, written consent to engage in the business of insurance will be withdrawn.
5. You may not apply for any other line of insurance until your probation is completed. At the completion of your probationary period you may apply through the 1033 process described on the department's website for consent to engage in additional activities.

Please be aware that if you do not have the required written consent, it is a felony for you to engage or participate in the insurance business other than as approved above.

In accordance with Section 8 of the Utah Insurance Department's Process for Written Consent under 18 U.S.C. § 1033 ("Process"), my decision on an Application is final. There are no appeal rights.

Sincerely,

A handwritten signature in blue ink that reads "Jonathan T. Pike". The signature is written in a cursive style with a large initial 'J' and a long, sweeping underline.

Jonathan T. Pike
Utah Insurance Commissioner