
BEFORE THE UTAH INSURANCE COMMISSIONER

_____,
(Name)

Petitioner,

vs.

Utah Insurance Department,

Respondent.

REQUEST FOR AGENCY ACTION
RE: 18 U.S.C. § 1033(e)(2)

Docket No.

Lisa Watts Baskin
Presiding Officer

The Petitioner initiates this formal adjudicative proceeding and requests the Utah Insurance Commissioner's written consent to engage or participate in the business of insurance as set forth in 18 U.S.C. § 1033. This request is made pursuant to Utah Code § 63G-4-201(3) and Utah Admin. Code R590-160 and R590-278.

In support of this request, and in compliance with Utah Admin. Code R590-278-2(1), the Petitioner provides the following documents to the extent that the Petitioner possesses or can create or obtain them:

- (1) A statement that explains why written consent should be granted.
- (2) A statement of the circumstances leading to any conviction under 18 U.S.C. § 1033, or to any felony conviction involving dishonesty or breach of trust, including the crime and the date it occurred. A conviction includes a no contest plea and a plea in abeyance.

(3) A statement of the circumstances leading to any other criminal conviction, misdemeanor or felony, including the crime and the date it occurred. A conviction includes a no contest plea and a plea in abeyance.

(4) Copies of any court documents involved in the criminal convictions identified above including probation reports.

(5) Written proof that all restitution, fines and interest associated with any conviction identified above have been paid in full.

(6) A statement of employment history.

(7) If applicable, a statement explaining why any post-conviction employment in the insurance industry did or does not violate 18 U.S.C. § 1033.

(8) A statement describing any counseling or other activity that demonstrates rehabilitation.

(9) Current letters of reference or recommendation.

(10) A statement describing the results of all other requests for written consent under 18 U.S.C. § 1033, if applicable.

(11) A statement of the type of insurance license that the petitioner intends to apply for.

(12) A statement describing the circumstances under which the Petitioner will work under the supervision of another if consent is granted.

(13) Evidence showing the Petitioner's trustworthiness in employment, community service or other endeavors since the most recent conviction.

(14) A statement of outstanding judgments against the Petitioner.

(15) If the Petitioner has had any occupational or professional licenses, certifications or designations revoked, a statement describing the circumstances of the revocation and a written confirmation of the revocation.

The Petitioner certifies that the petitioner has completed the process for providing a criminal background report to the Department as described in the attached "Process for Requesting Criminal Background Report."

The Petitioner acknowledges that this request is not complete until the Department receives the criminal background report.

Under criminal penalty of the law of the state of Utah, the undersigned declares that the information provided in support of this request is complete, true and accurate.

DATED: _____.

Petitioner's signature
Mailing address:
Email:

CERTIFICATE OF SERVICE

The undersigned hereby certifies that on the date _____ a true and correct copy of the foregoing Request for Agency Action Re: 18 U.S.C. § 1033(e)(2) was emailed to uidadmincases@utah.gov.

Petitioner

Process for Requesting Criminal Background Report

1. Pay to the Utah Insurance Department \$32.00 to cover fingerprint fee charged by the FBI (\$12.00) and the Utah Bureau of Criminal Identification ("BCI") (\$20.00). Payment is made by credit or debit card in person at the Utah Insurance Department or by telephone at 801-538-3184. You will receive a receipt for payment of the fee.

2. Go to a PSI test center to have your fingerprints taken. PSI locations and fingerprinting hours can be found at www.psiexams.com or by calling the above listed phone number. Be sure to bring your receipt for the fingerprint fee to show as proof of having paid the fee in advance.

3. PSI will charge a separate \$20.00 processing fee for fingerprinting.

4. Once your fingerprints are taken, they will be forwarded to BCI and then to the FBI. After examining the fingerprints, the FBI will send the criminal background report to BCI, which will then send the report to the Department.